

# X-TCP: a Cross Layer Approach for TCP Uplink Flows in mmWave Networks

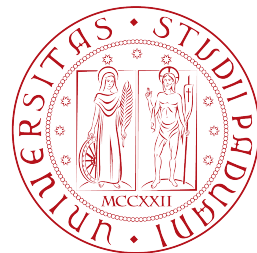
Tommy Azzino, Matteo Drago, Michele Polese,  
Andrea Zanella, Michele Zorzi

Dept. of Information Engineering, University of Padova, Italy

Speaker: Marco Giordani

[michele@polese.io](mailto:michele@polese.io)

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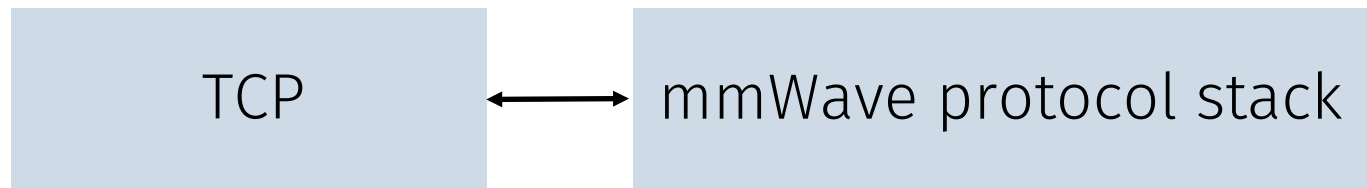
- Introduction
- TCP and TCP for mmWave
- Proposal: cross layer approach
- Performance evaluation
  - Random scenario
  - Outage scenario
- Conclusions

# Introduction

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- TCP is one of the most used transport protocols
- mmWave links will be probably used in next generation cellular networks

The end-to-end performance depends on the interaction between different layers



# TCP issues in mmWave

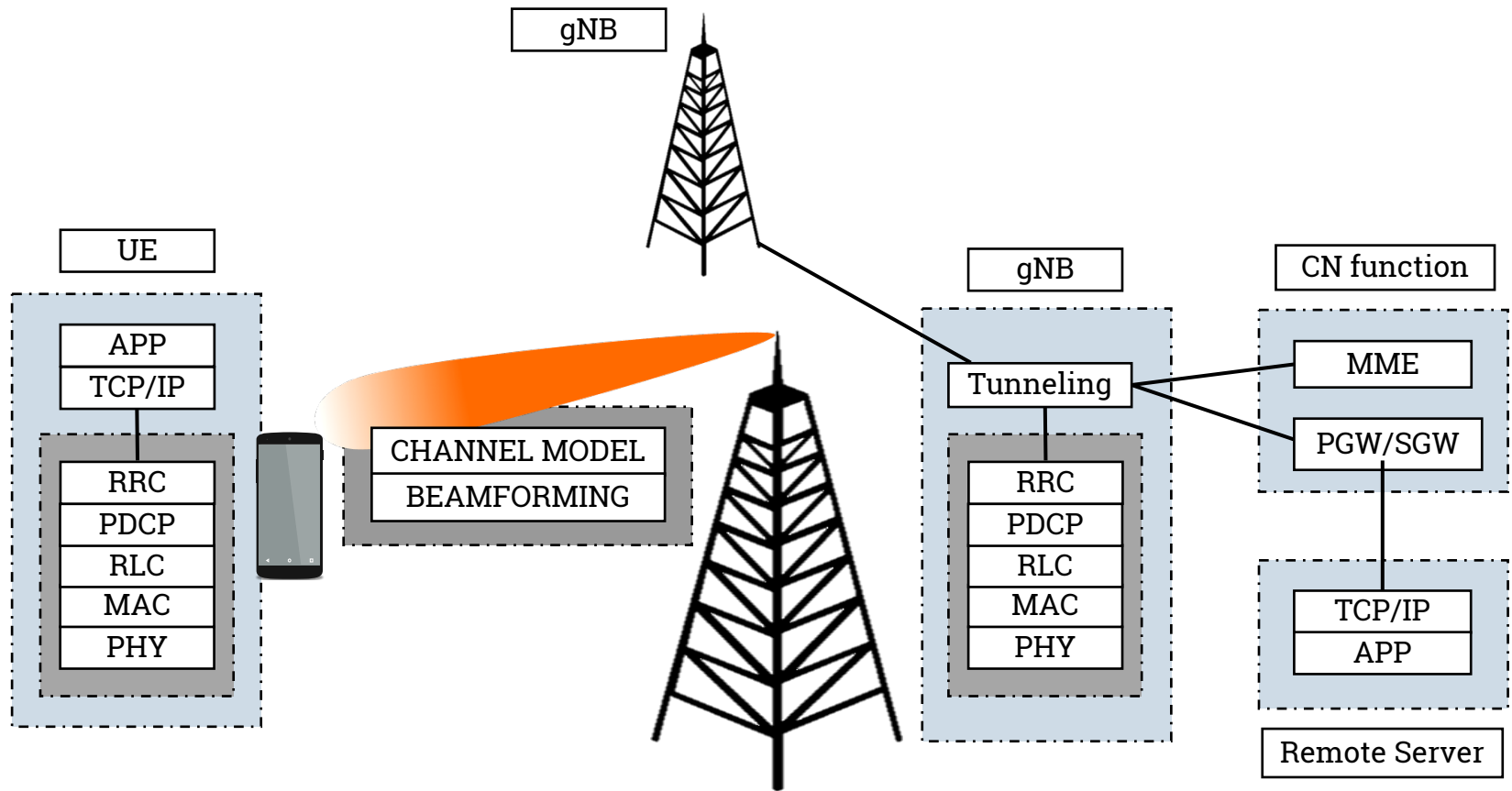
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- mmWave links
  - **blockage** and **link disruption**
  - bandwidth **fluctuation** in LOS/NLOS transitions
- **TCP suffers:** suboptimal performance and waste of resources
  - Long time to recover full throughput after an outage
  - Very high RTT in NLOS + bufferbloat

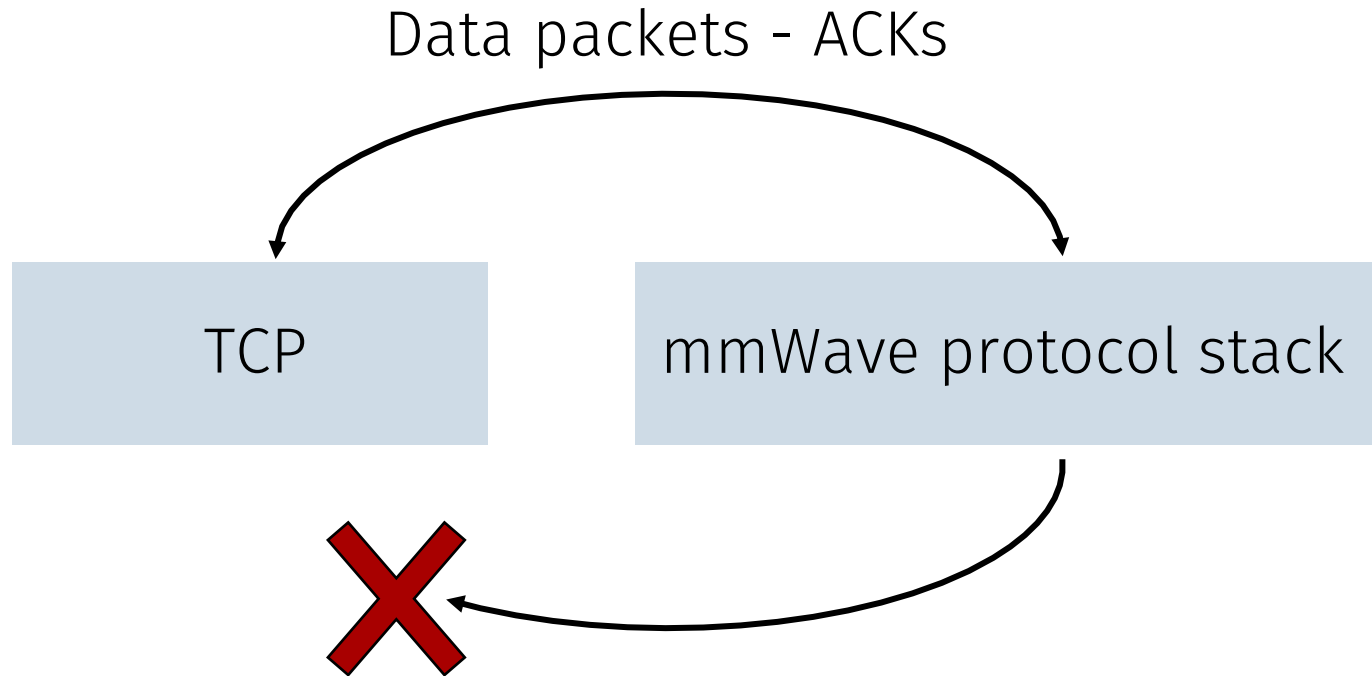
[3] Zhang et al., *Transport Layer Performance in 5G mmWave Cellular*

[4] Zhang et al., *The Bufferbloat Problem over Intermittent Multi-Gbps mmWave Links*

# mmWave network

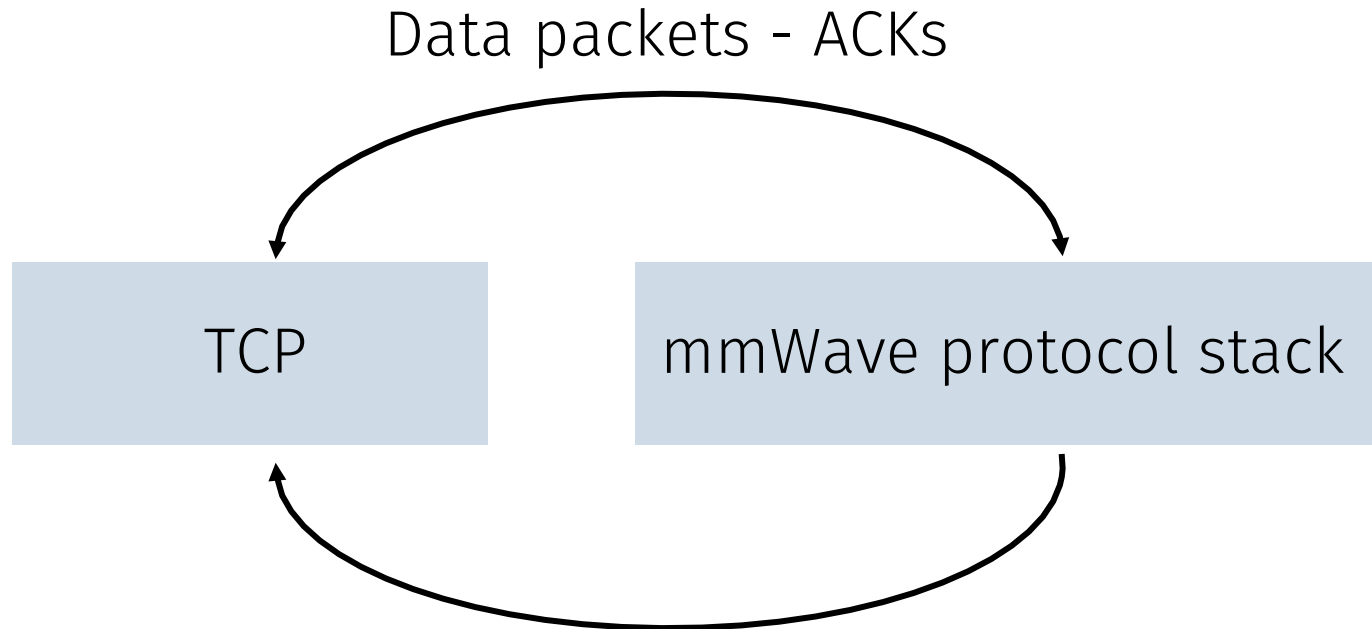


# Traditional approach



TCP can only infer the state of the mmWave link from  
“information” in ACKs

# Cross layer approach



TCP directly knows the state of the mmWave link

- Direct knowledge is feasible only for local links
- Uplink approach

# Information needed

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- Assumption
  - **3GPP-like** protocol stack (PHY, MAC, RLC, PDCP)
  - TDD physical layer
- Transport block size at MAC layer + slot duration
  - Scaled to account for higher layer headers

 Estimation of available data rate  $\hat{e}_{\text{datarate}}$

- Round trip time (with ACK timestamps)  $\hat{e}_{\text{rtt}}$ 
  - Consider minimum RTT in an interval  $rtt_{\text{min}}$
  - Avoid adding buffering delays



# Algorithm

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## Algorithm 1 Cross layer congestion window update

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### initialization

$rtt_{\min} \leftarrow \infty$

$cwnd \leftarrow$  Maximum Segment Size (MSS)

### for every received ACK

estimate RTT  $\hat{e}_{rtt}$

from the mmWave stack:

estimate mmWave data rate  $\hat{e}_{\text{datarate}}$

get SINR value  $\Gamma$

**if**  $\hat{e}_{rtt} < rtt_{\min}$

$rtt_{\min} \leftarrow \hat{e}_{rtt}$

**if**  $\Gamma \geq 0$  and  $\hat{e}_{rtt} \leq rtt_{\min} + \epsilon$

$cwnd \leftarrow \hat{e}_{\text{datarate}} rtt_{\min}$

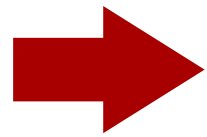
**else**

$cwnd \leftarrow \lambda \hat{e}_{\text{datarate}} rtt_{\min}$

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# Considerations

- Retransmissions at MAC and RLC layers may occupy the transport block
- There may be congestion in other links



Apply scaling factor  $\lambda$  if

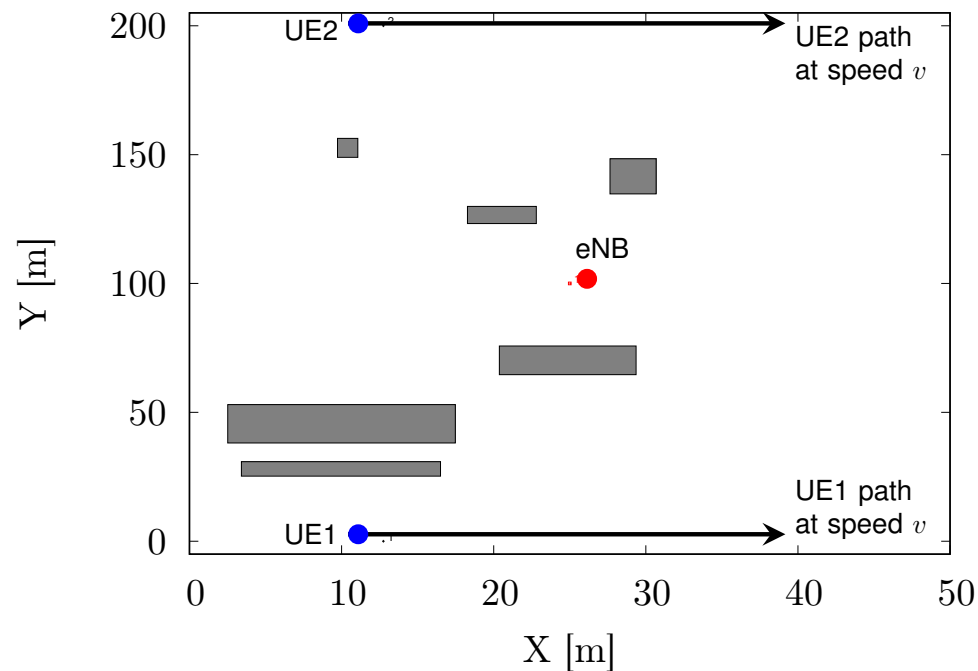
SINR below threshold

Estimated RTT  $\gg rtt_{\min}$

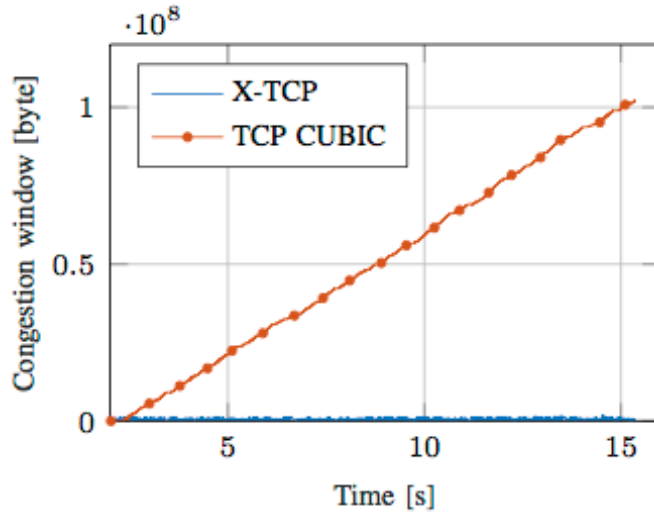
- Empirical value
  - Scenario-based optimization left for future work

# Random scenario

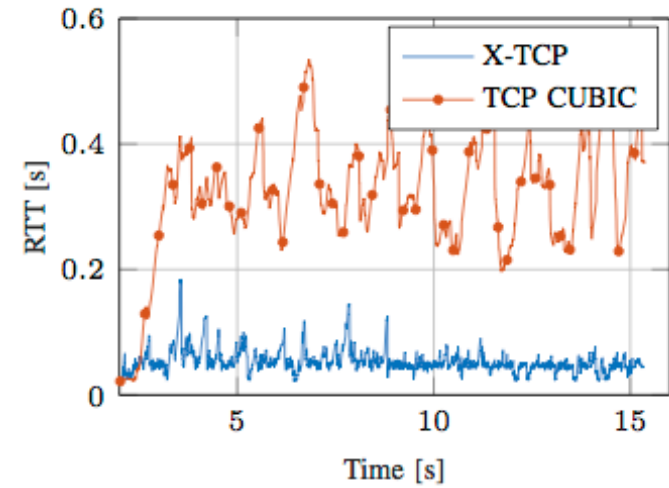
- ns-3-based simulation
- End-to-end detailed protocol stack
- NYU statistical channel model
- Randomly generated obstacles



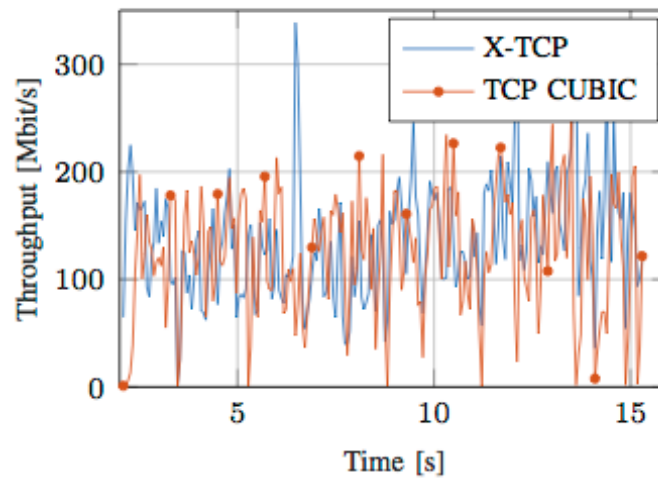
# Example in NLOS



(a) Window

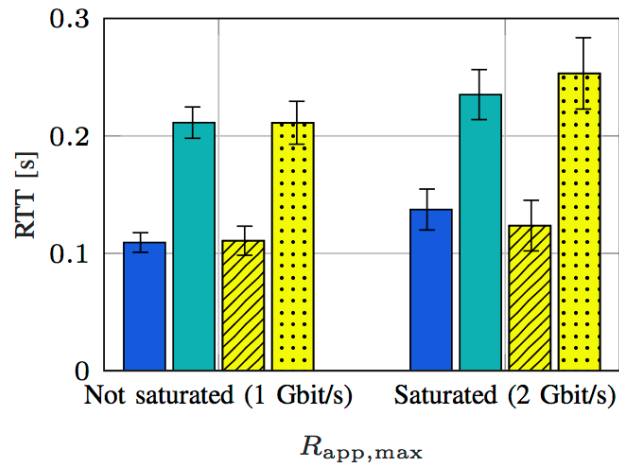


(b) RTT

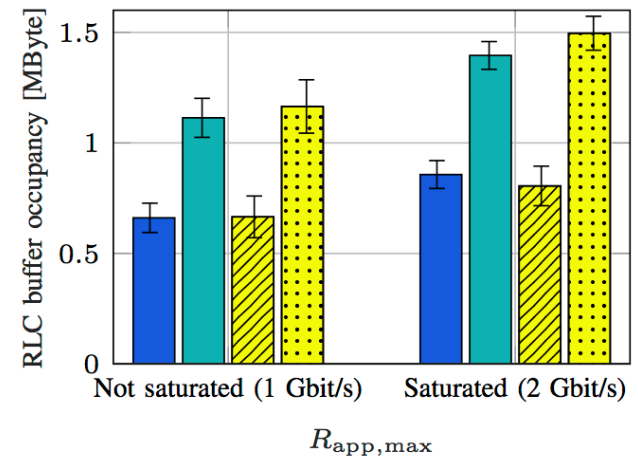


(c) Throughput

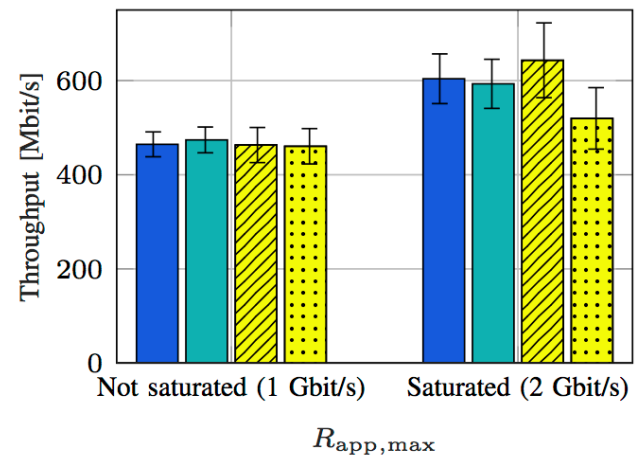
# Average results



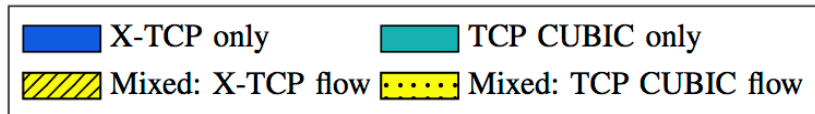
(a) Average RTT



(b) RLC buffer occupancy

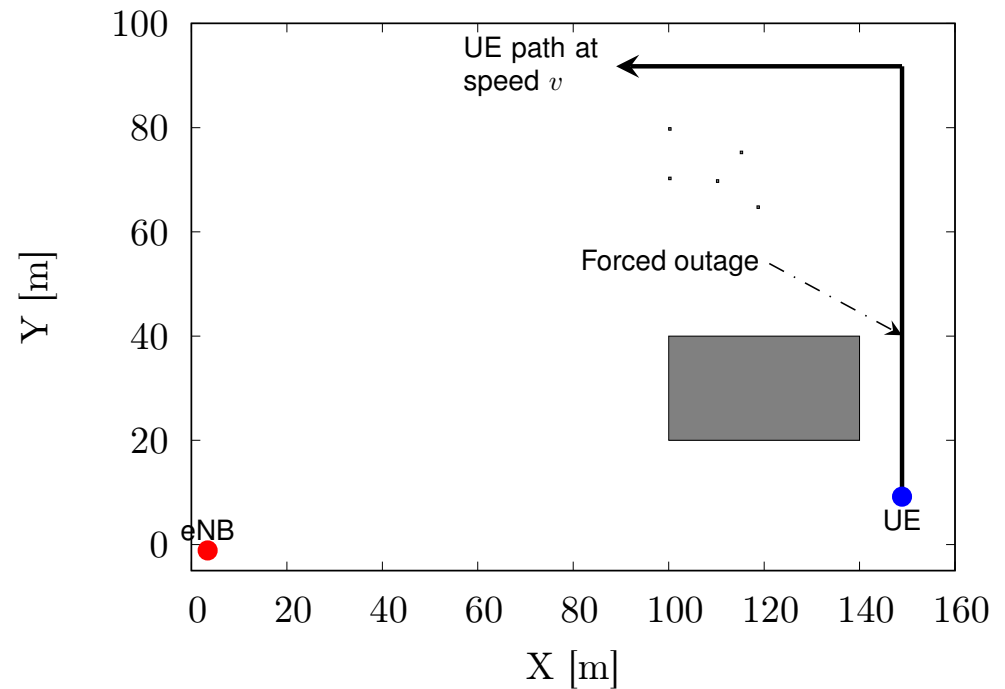


(c) Average throughput

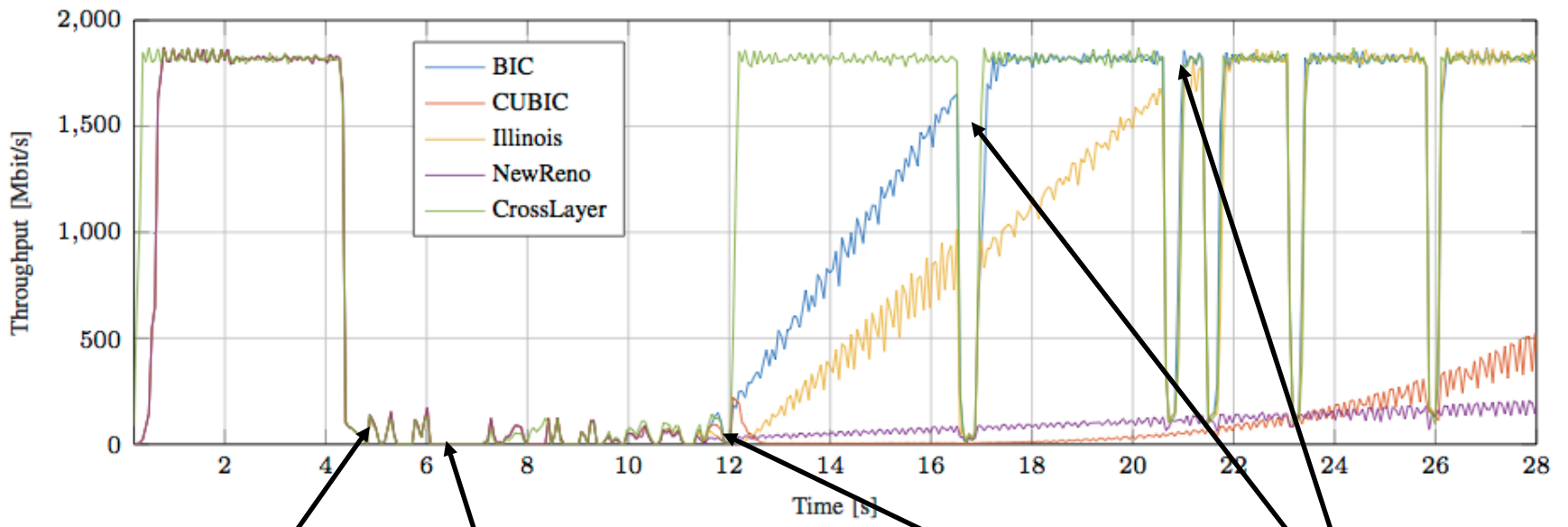


# Outage scenario

- Random channel realizations
- Fixed obstacles
- Forced outage



# Example



NLOS      Forced outage      LOS      NLOS

# Average results

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TCP flavor	Average throughput [Mbit/s]
X-TCP	$1225.21 \pm 15.81$
TCP BIC	$1051.32 \pm 10.42$
TCP Illinois	$949.87 \pm 10.78$
TCP CUBIC	$342.79 \pm 8.46$
TCP NewReno	$342.46 \pm 10.33$



# Conclusions

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- Proposed a cross layer approach for uplink TCP
- Performance evaluation over different scenarios
  - Randomly generated
  - Forced outage
- Future works
  - Optimization of scaling factor  $\lambda$
  - TCP split approach

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