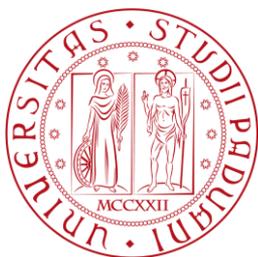


# End-to-End Design and Evaluation of mmWave Cellular Networks

Michele Polese

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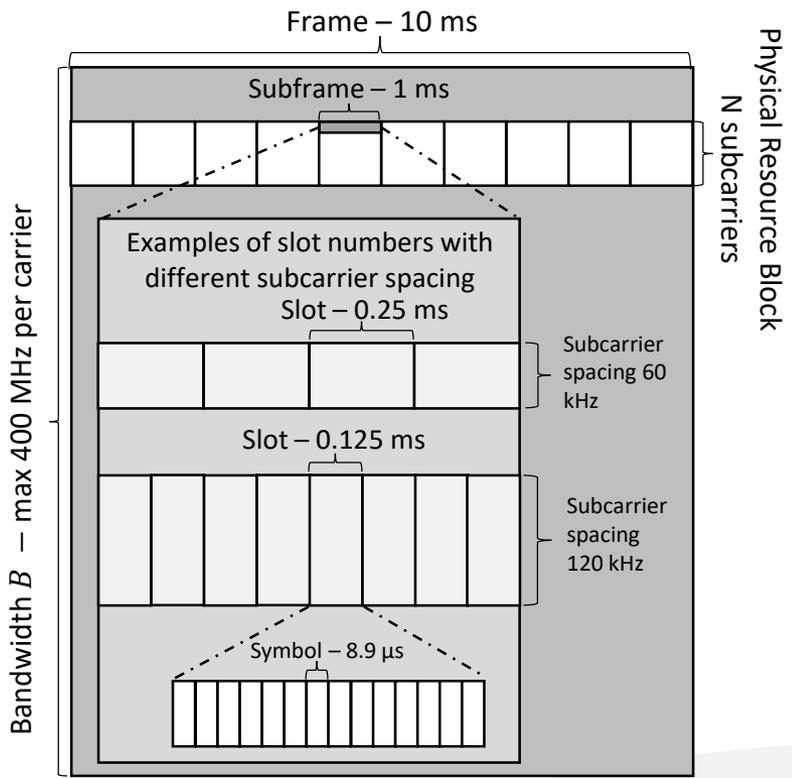
Supervisor: Prof. Michele Zorzi



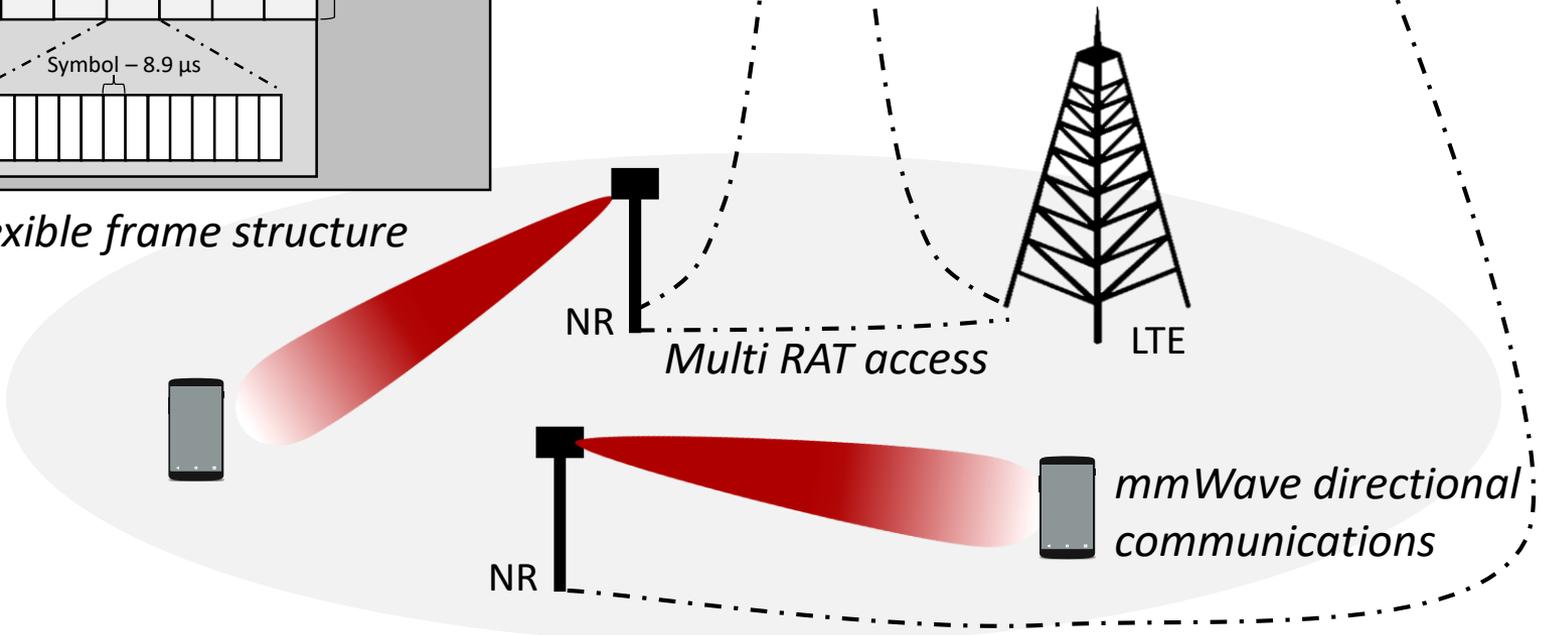
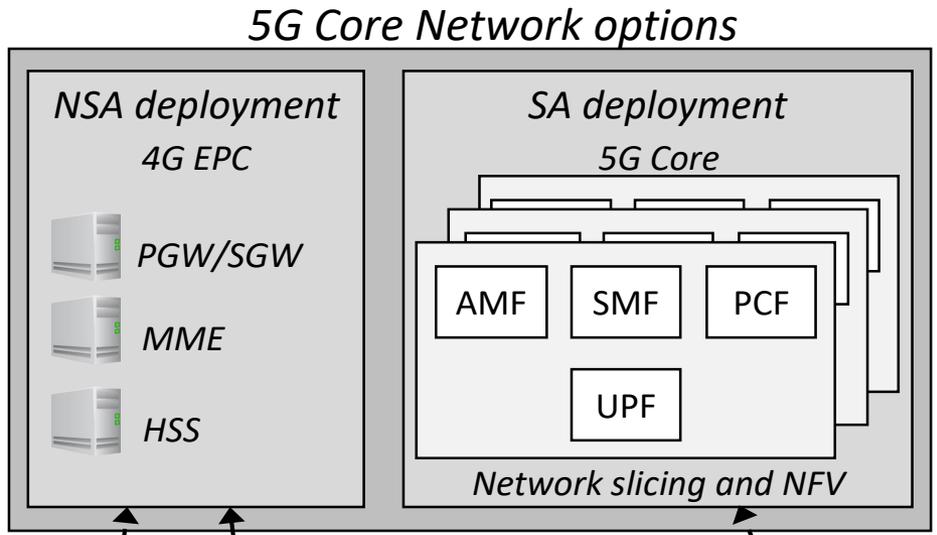
# Outline

- **Introduction**
  - A case for end-to-end, full-stack evaluations
- **Architectures for 5G mmWaves**
- **End-to-end protocols for mmWaves**
- **Data-driven 5G network optimization**
- **Conclusions and research directions**

# 3GPP NR: novelties

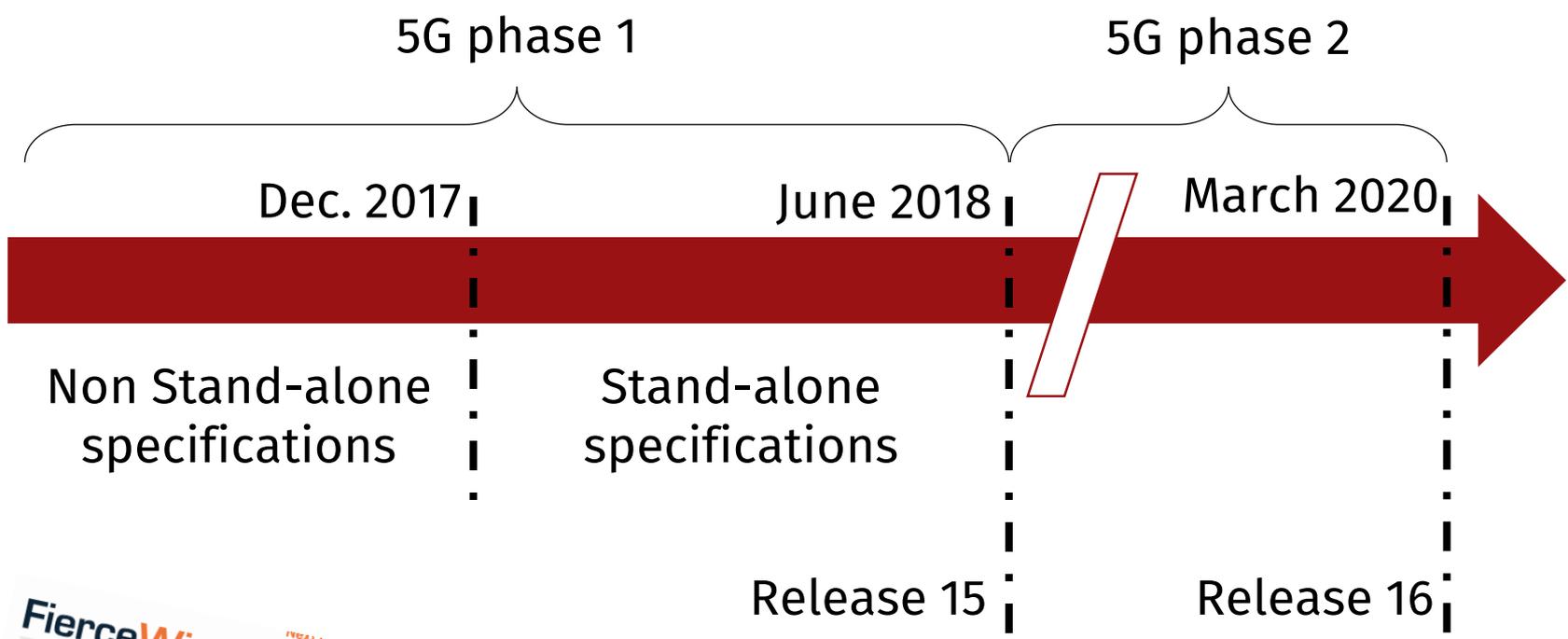


*Flexible frame structure*

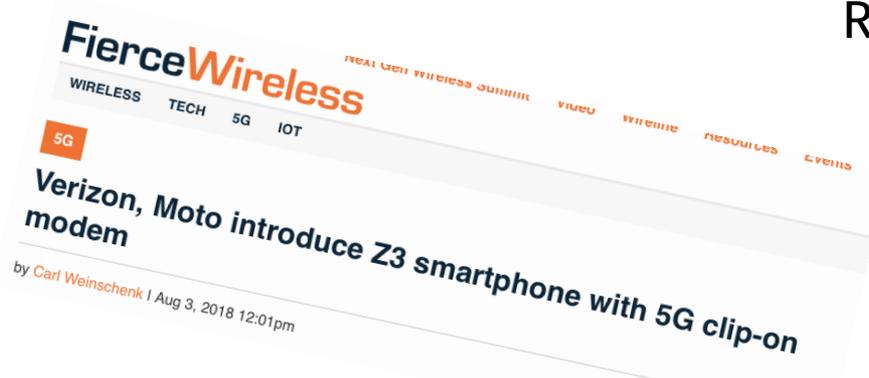


# 3GPP NR: timeline

Goal: deployment by 2020

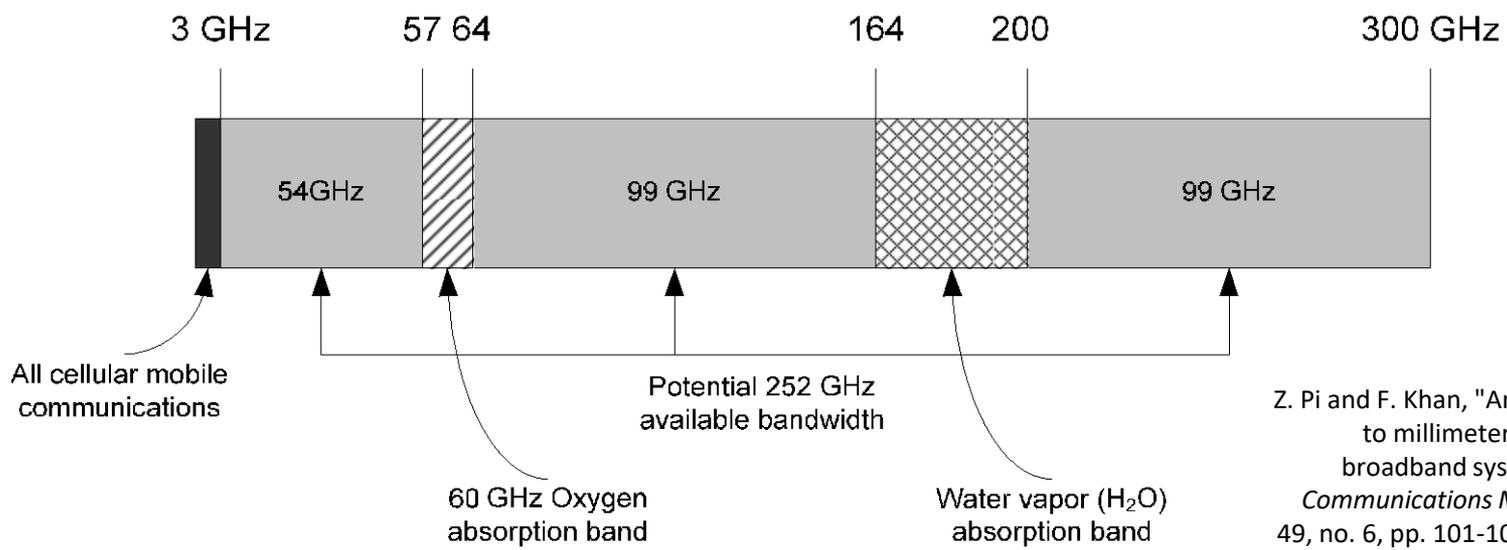


End-to-end mmWaves

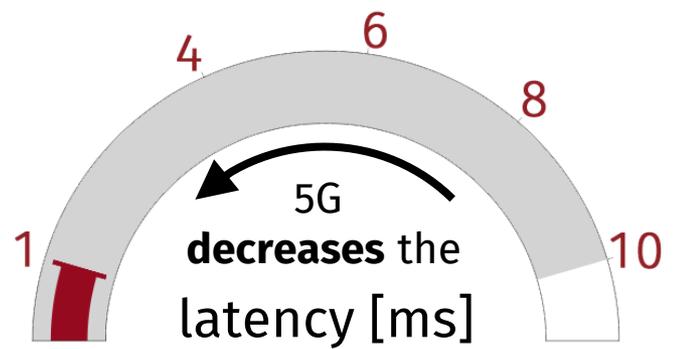
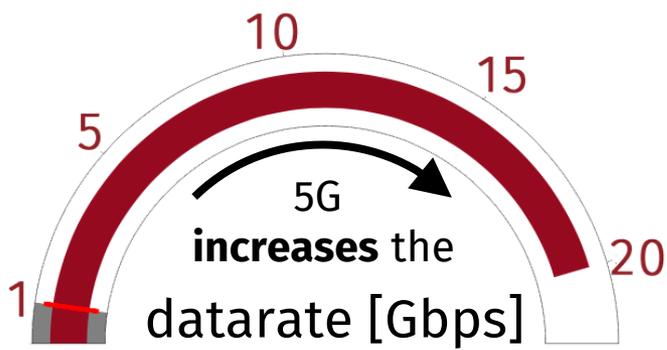


# 3GPP NR: mmWaves in cellular networks

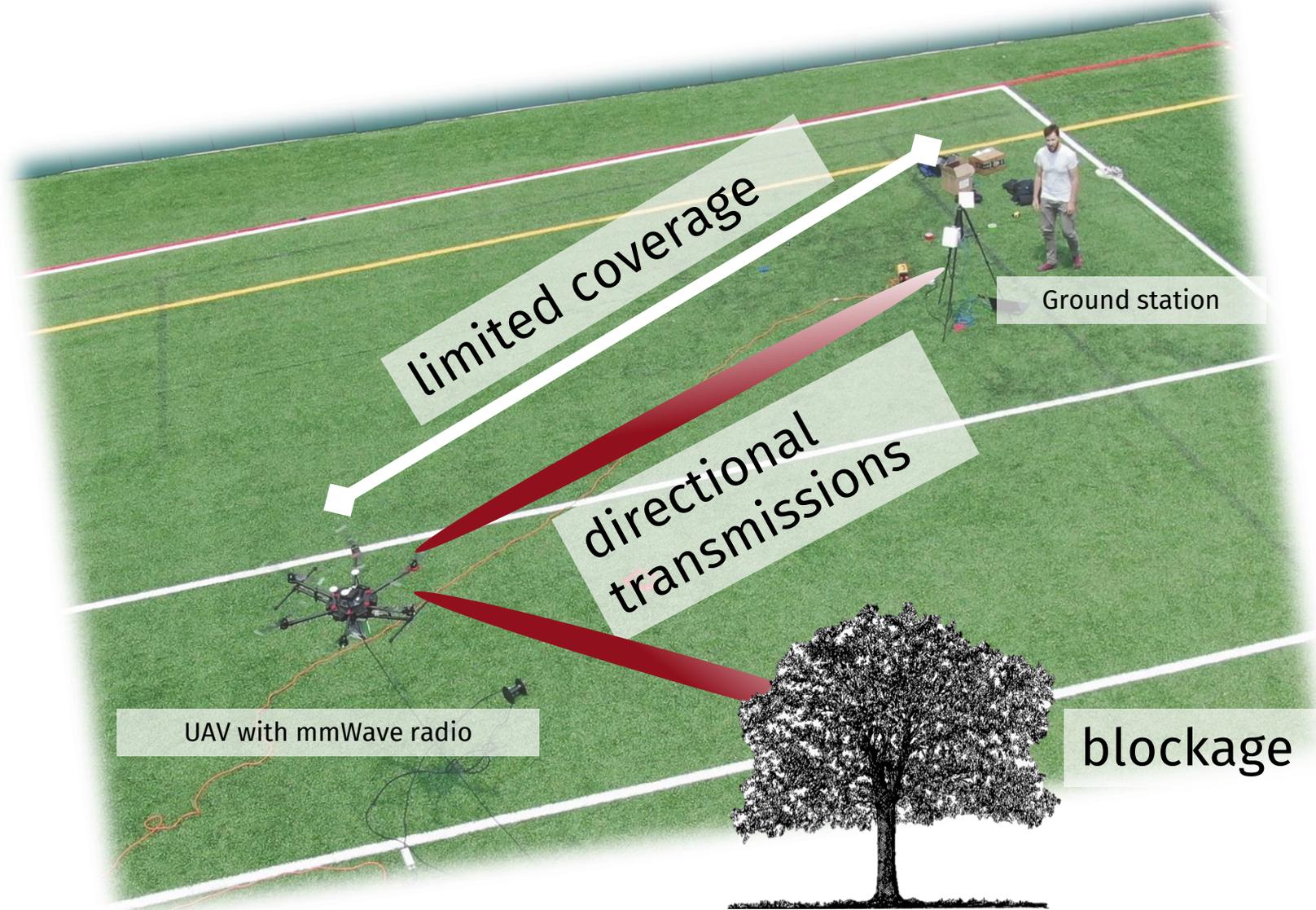
3GPP NR Release 16 will support **frequencies up to 52.6 GHz**



Z. Pi and F. Khan, "An introduction to millimeter-wave mobile broadband systems," in *IEEE Communications Magazine*, vol. 49, no. 6, pp. 101-107, June 2011.

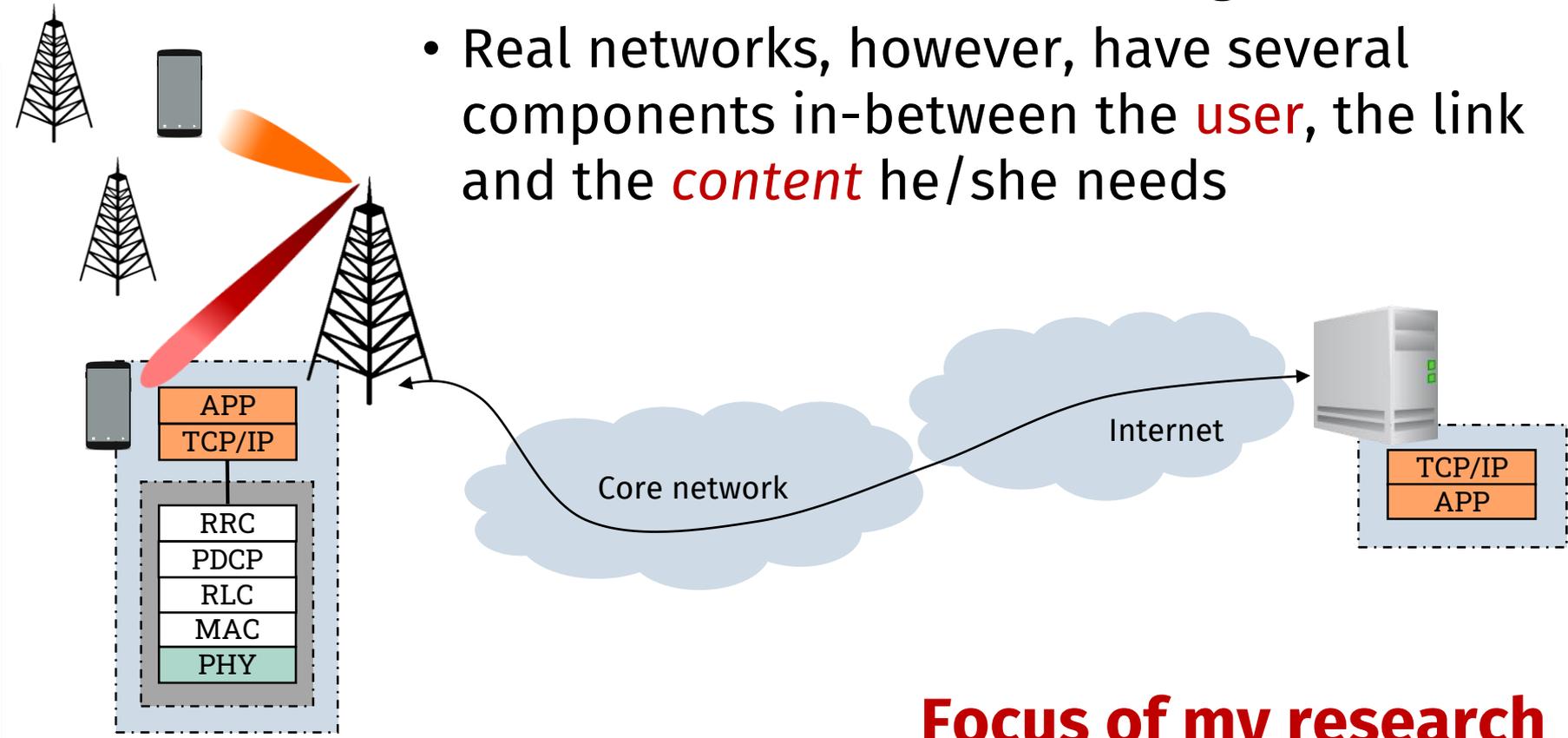


# 3GPP NR: challenges for mmWaves



# End-to-end design and performance: why?

- Sometimes, link-level is enough
- Real networks, however, have several components in-between the **user**, the link and the **content** he/she needs



**Focus of my research**

end-to-end, system-level design & evaluation of 5G mmWave networks

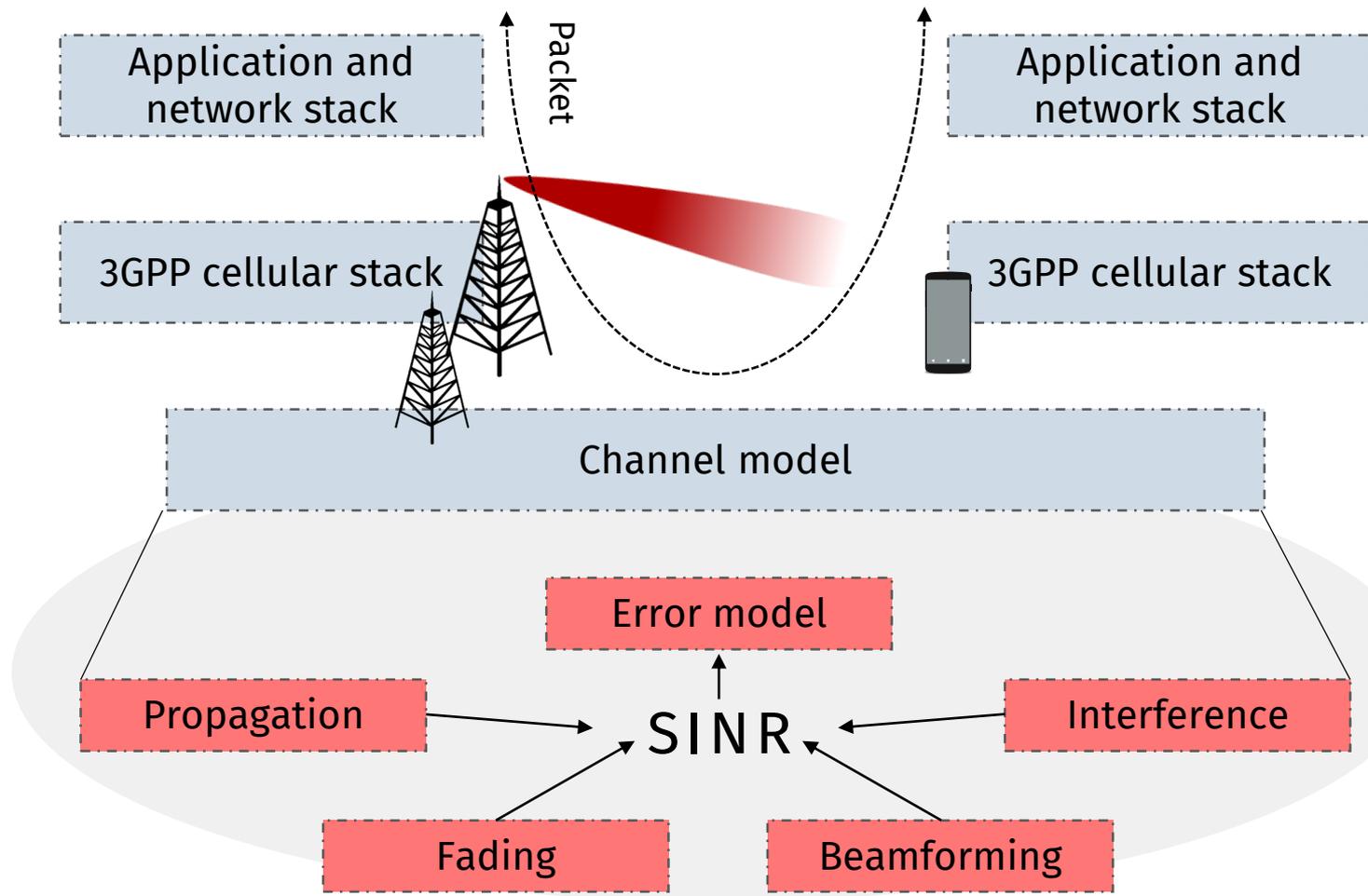


The Architecture  
*System Level Design of  
5G mmWave Networks*

The Protocols  
*End-to-End and Cross-Layer  
Analysis of 5G mmWave Networks*

The Intelligence  
*Data-Driven 5G Networks  
Optimization*

# The tool: ns-3 mmWave module



The Architecture  
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# System Level Design of 5G mmWave Networks

Multi connectivity, beam management and Integrated Access and Backhaul

# System-level challenges at mmWaves

Issues: high propagation loss and blockage

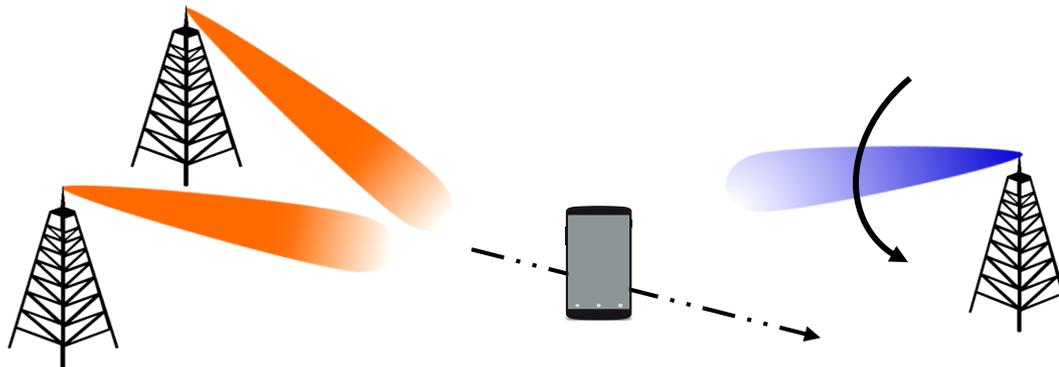
**Ultra-dense** deployments

**Large antenna arrays** increase the link budget, but the power is focused on **narrow beams**

**1**  
High number of handovers

Provide backhaul to all the base stations **2**

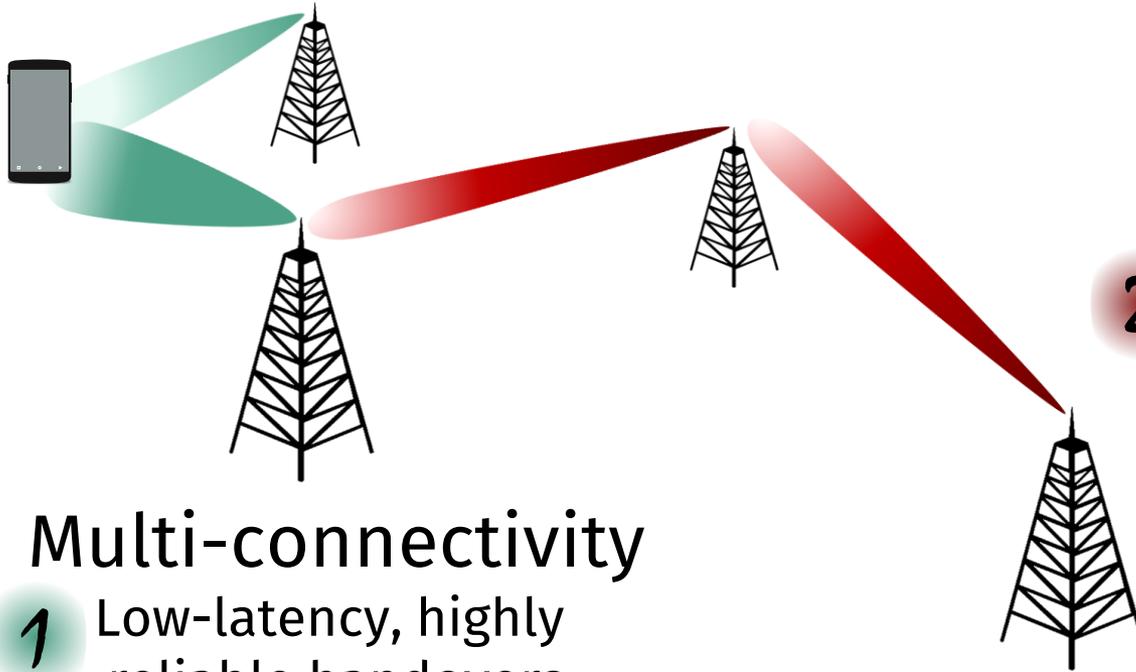
Need to track the narrow beams when **3** moving



# System level solutions at mmWaves



**Beam management**  
Seamless tracking **3**



**Multi-connectivity**

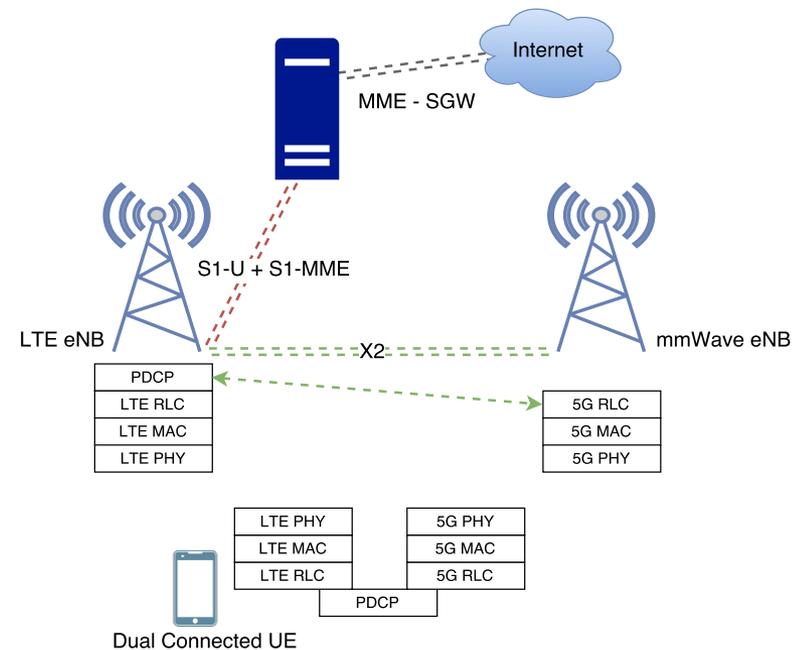
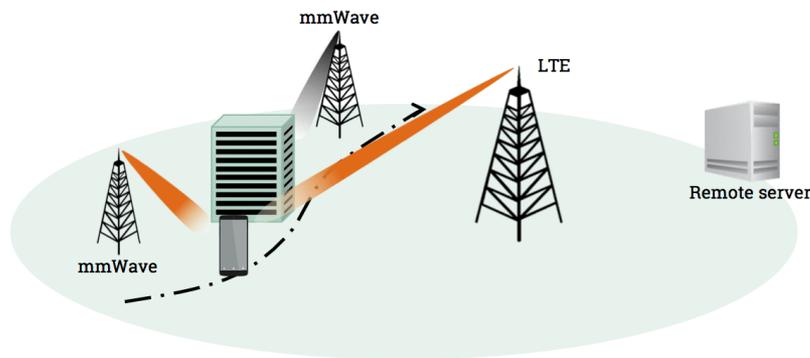
**1** Low-latency, highly reliable handovers

**2** Integrated Access and Backhaul  
Low cost, high density mmWave deployments

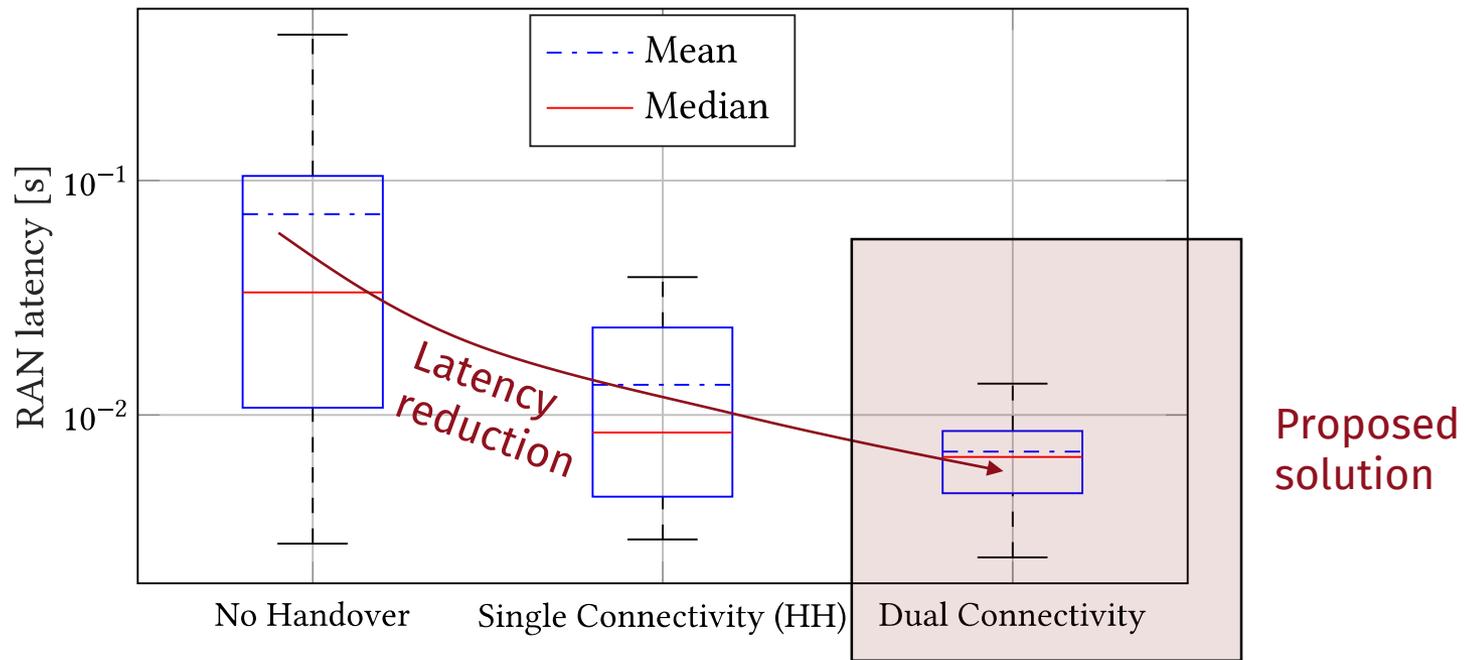
# Mobility management <sup>1</sup>

- **Goal:** design a system resilient to fluctuations and outages
- **Contribution:**

## Multi-connectivity architecture to combine sub-6 GHz and mmWave benefits



# Results: latency with TCP traffic



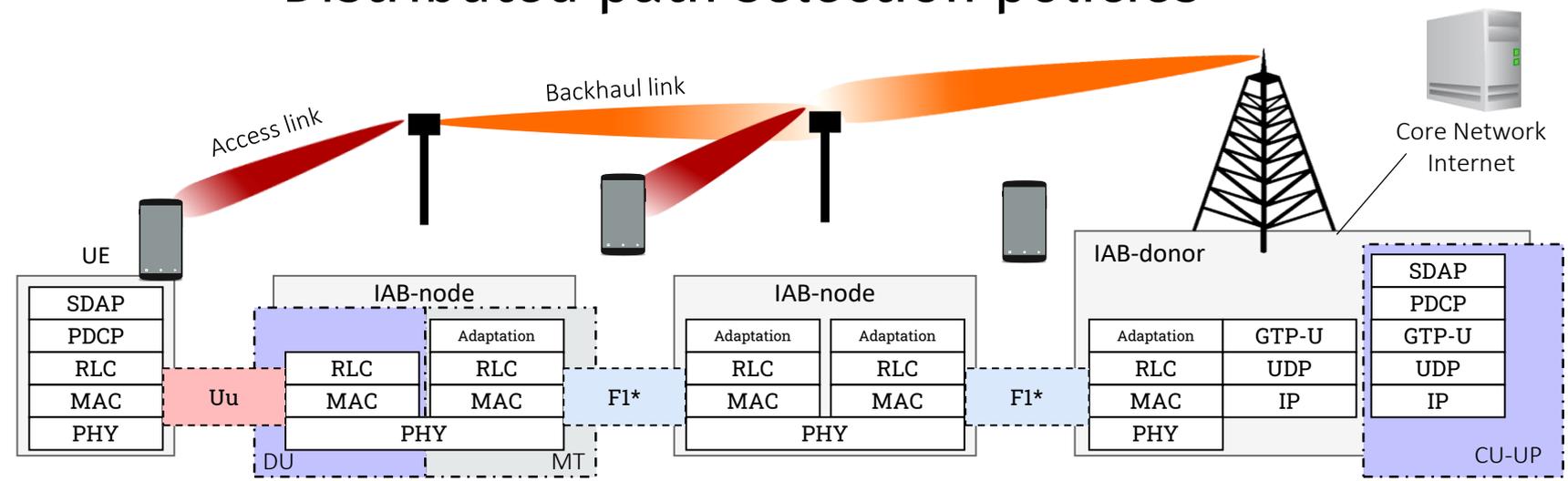
- *No handover* (always keep the same BS)
- *Single connectivity* (traditional HO architecture)
- **Multi connectivity** (fast handovers – no service interruption)

# Integrated Access and Backhaul

## 3GPP Work Item for Release 16

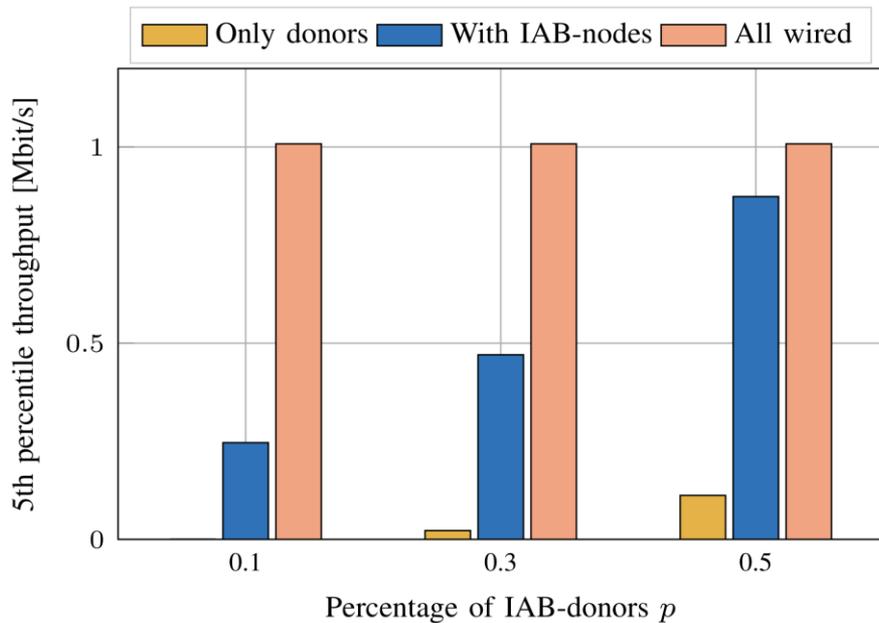
- **Goal:** provide wireless backhaul to ultra-dense mmWave networks
- **Contributions:**

IAB module for ns-3 mmWave  
 Analysis of IAB end-to-end performance  
 Distributed path selection policies

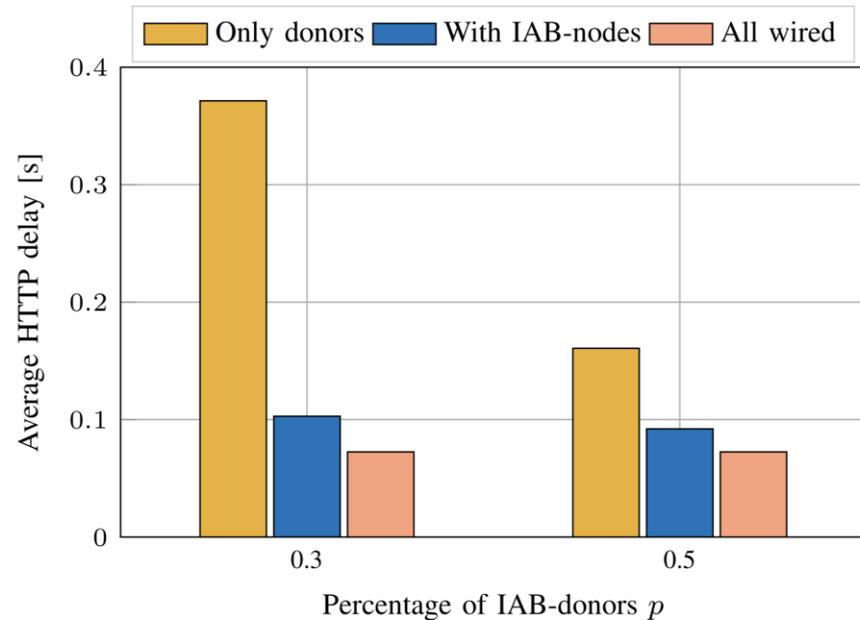


# End-to-end Performance for IAB

*Impact of synchronous vs. bursty traffic*



Throughput with full buffer source



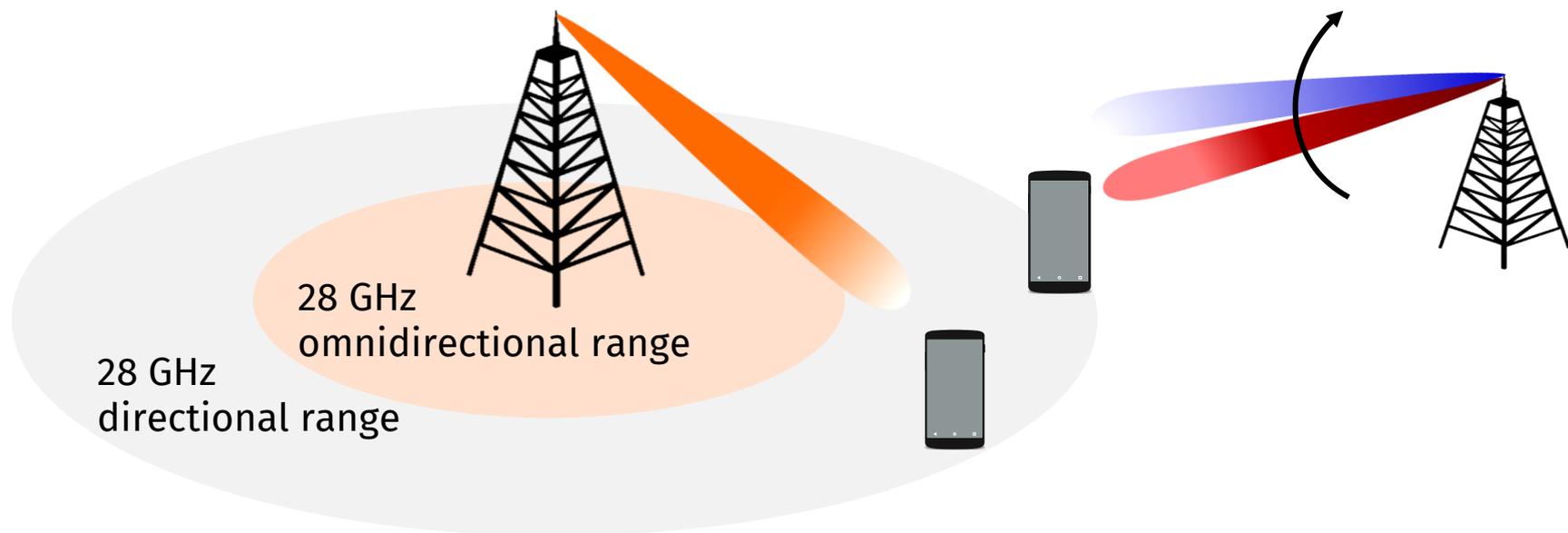
Webpage loading time with browsing model



# Beam management in 3GPP NR

- **Goal:** perform directional initial access and tracking
- **Contributions:**

Study of 3GPP NR beam management schemes  
Analysis of their performance with design insights



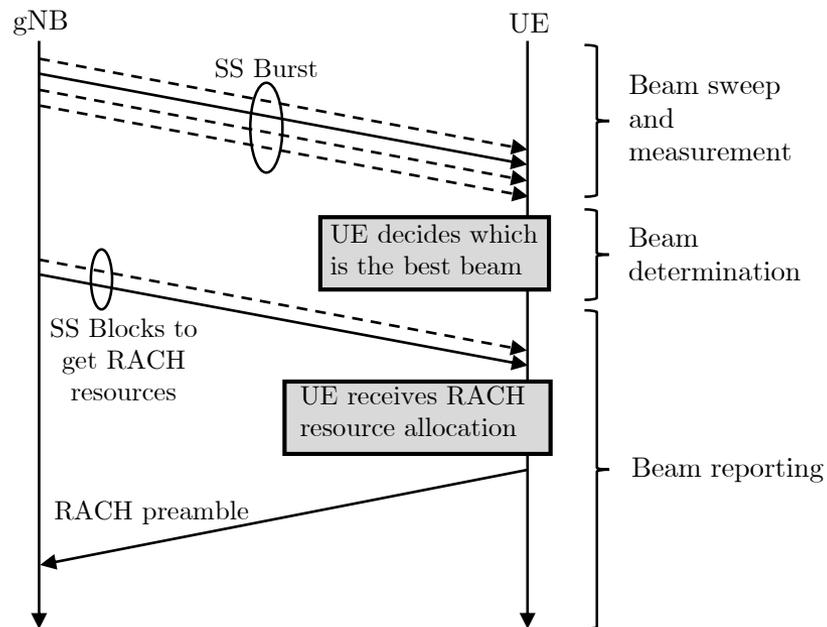


# Beam Management in NR

The 3GPP has specified **a set of procedures** for the control of multiple beams at mmWave frequencies which are categorized under the term BEAM MANAGEMENT

1. Beam sweeping
2. Beam measurement
3. Beam determination
4. Beam reporting

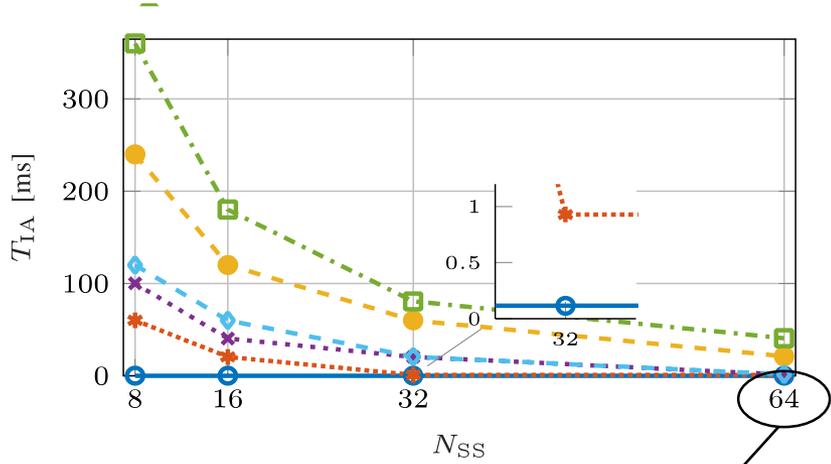
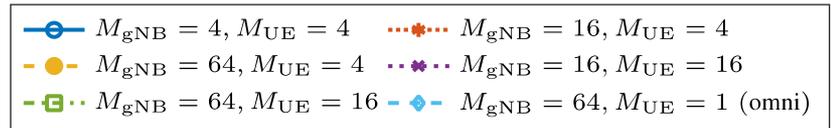
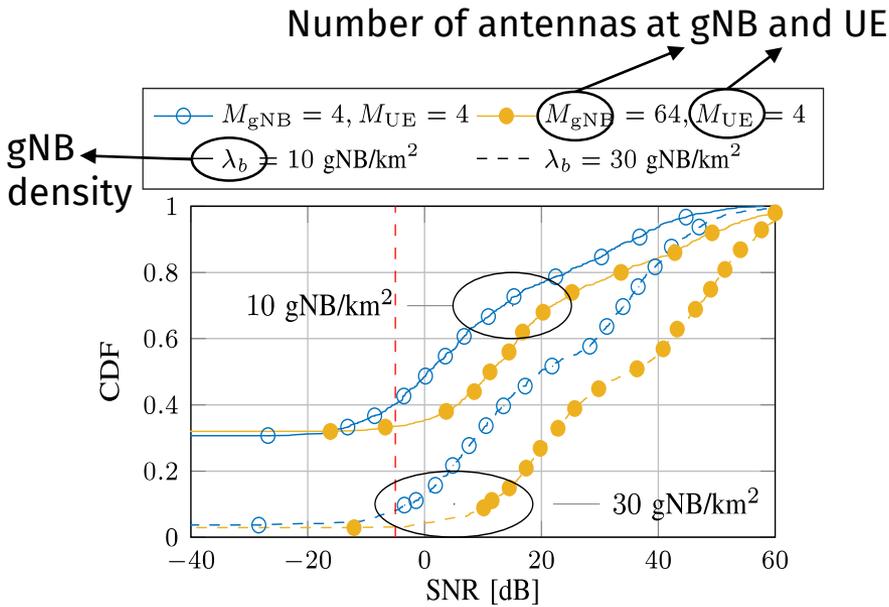
Initial Access in a standalone deployment



# Accuracy-reactiveness tradeoff in NR

*Accuracy: what is the probability of receiving an SS block?*

*Reactiveness: how much time does it take to perform IA?*



(b) gNB Analog, UE Digital (DL-based configuration)

Number of SS blocks per burst

# Beam management for UAVs

Proposed location-based beam management for UAVs  
 Experimental evaluation



The Architecture  
*System Level Design of  
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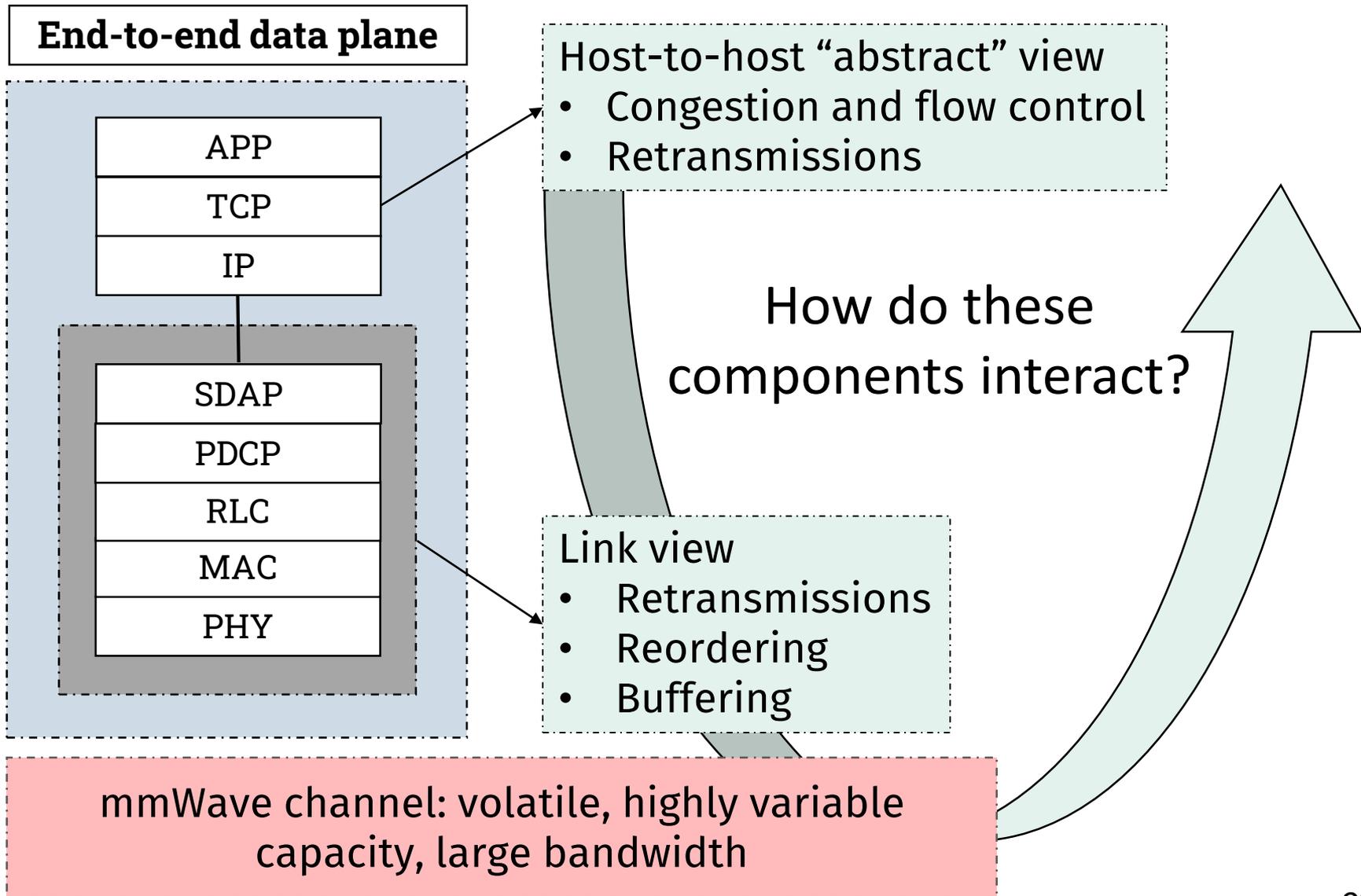
The Protocols  
*End-to-End and Cross-  
Layer Analysis of 5G  
mmWave Networks*

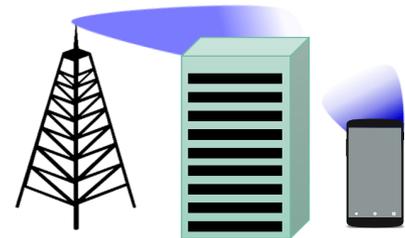
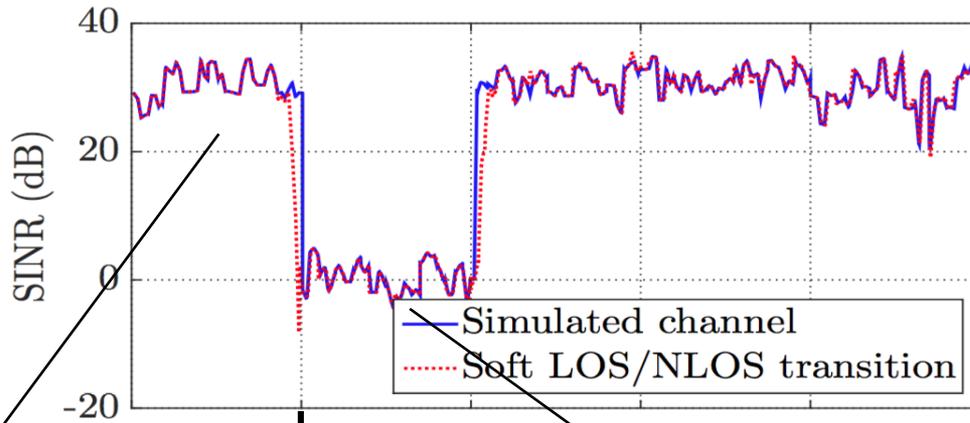
The Intelligence  
*Data-Driven 5G Networks  
Optimization*

# End-to-End and Cross-Layer Analysis of 5G mmWave Networks

TCP issues in mmWave networks

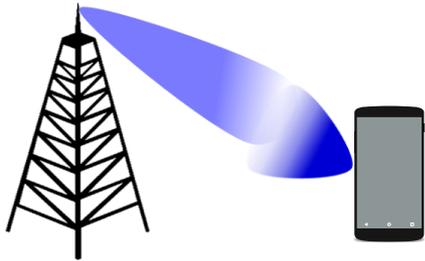
# TCP issues in mmWave networks



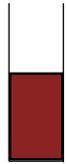
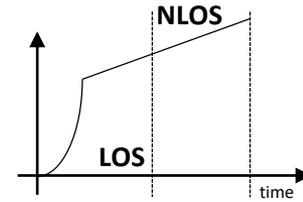


LOS

NLOS  
After transition from LOS

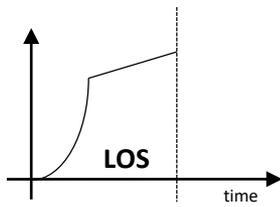


1 **Large buffer**  
Bufferbloat  
High latency

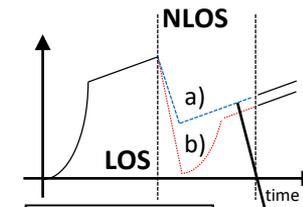


congestion window

RLC buffer occupancy



2 **Small buffer**  
Buffer overflow  
Low throughput



congestion window

RLC buffer occupancy

a) DUPACK retx (CW/2)  
b) RTO retx (CW=1)

3 **Slow ramp-up** when back in LOS

# Possible solutions

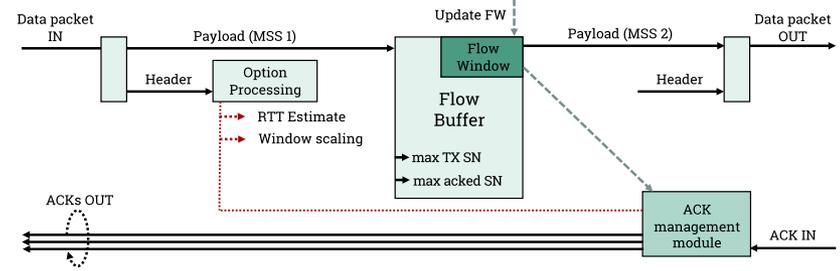
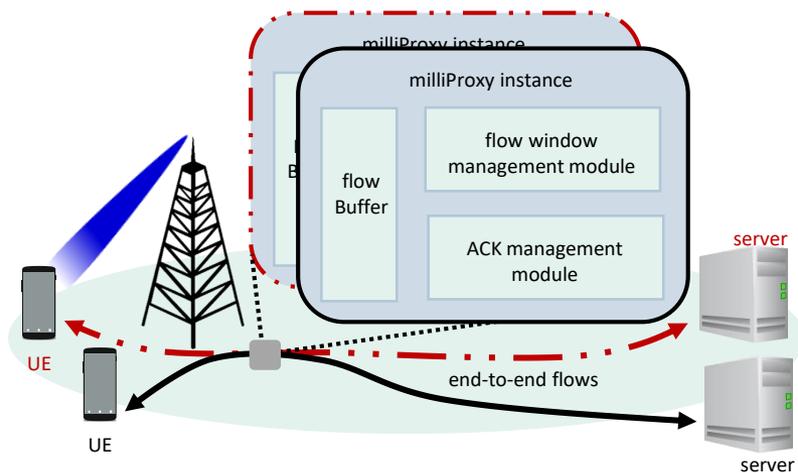
- **Goal:** improve TCP end-to-end performance on mmWaves
- **Contributions:**

**Edge deployments:** a shorter control loop, to react faster

**CC algorithms:** faster window ramp-up mechanisms

**Exploit multiple paths:** mobility management or MP-TCP

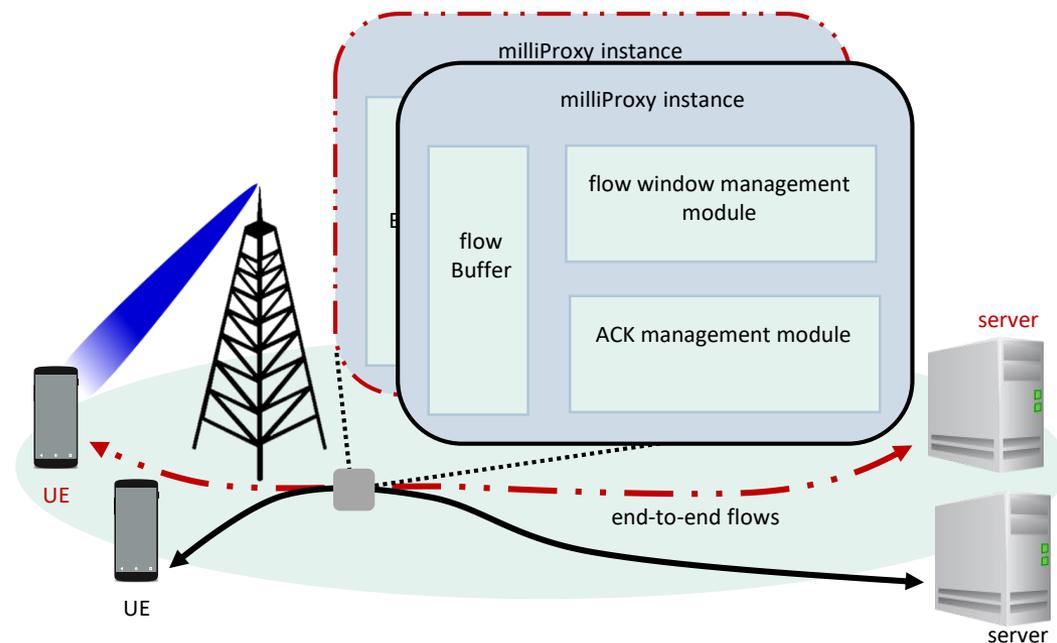
**milliProxy:** cross-layer approach to better control the TCP sending rate



# milliProxy – a TCP proxy for mmWaves

## Reduce buffering latency + increase goodput

- Transparent to the end-to-end flow
- Installed in the gNB – or at the edge
- Cross-layer approach
  - Per-UE data rate
  - RLC buffer occupancy
  - RTT estimation
- Modular
  - Plug-in different flow control algorithms (inspired to [1])



# milliProxy – flow control

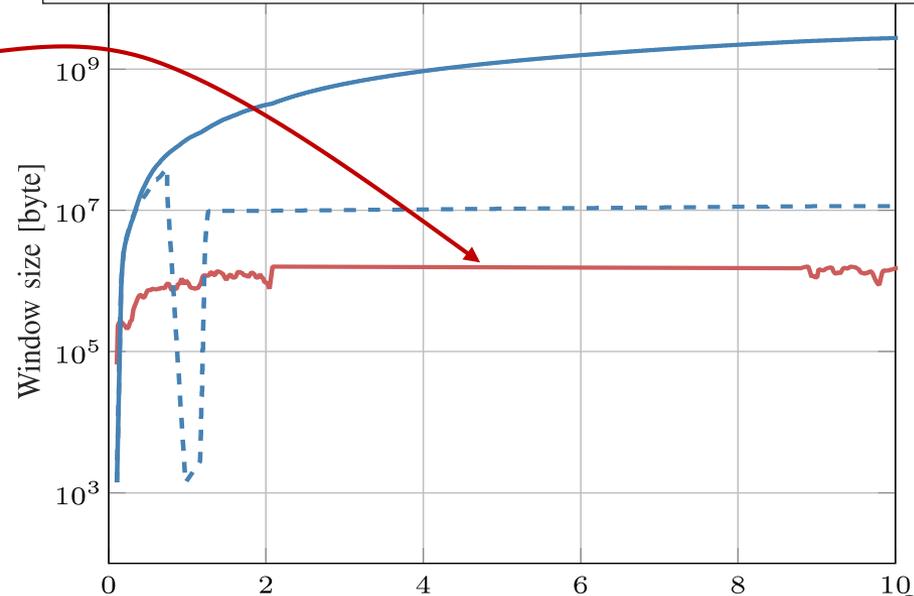
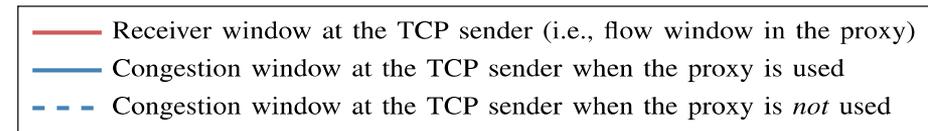
## Interaction with the TCP sender

- TCP sending rate is  $\min(CW, ARW)$
- milliProxy modifies the ARW in the ACKs, according to the flow control policy used

Advertised window (receiver's feedback sent on ACK packets)

Congestion window (computed by the sender)

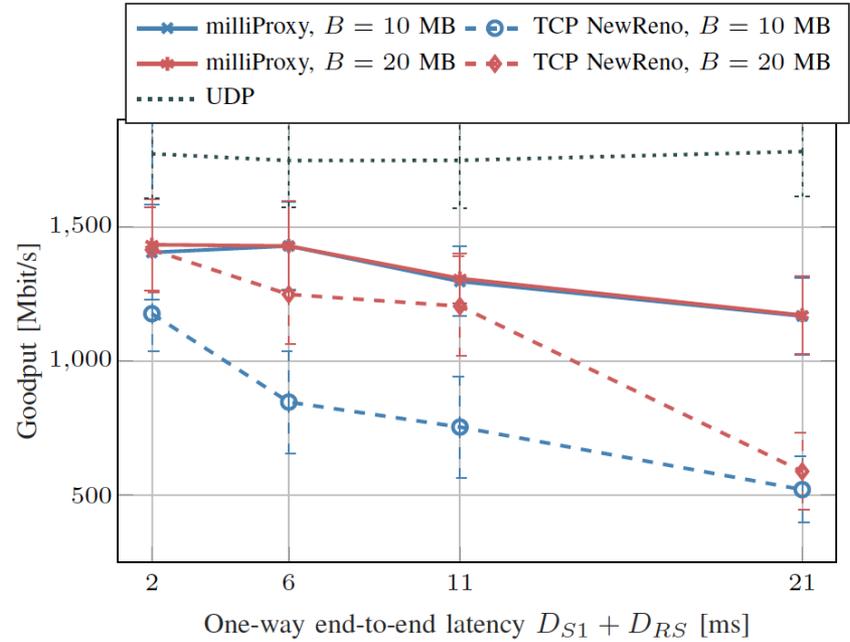
- Bandwidth-Delay Product (BDP) based  $ARW = BW * RTT$
- More conservative  $ARW = \min([RTT * PHY_{rate}] - B, 0)$





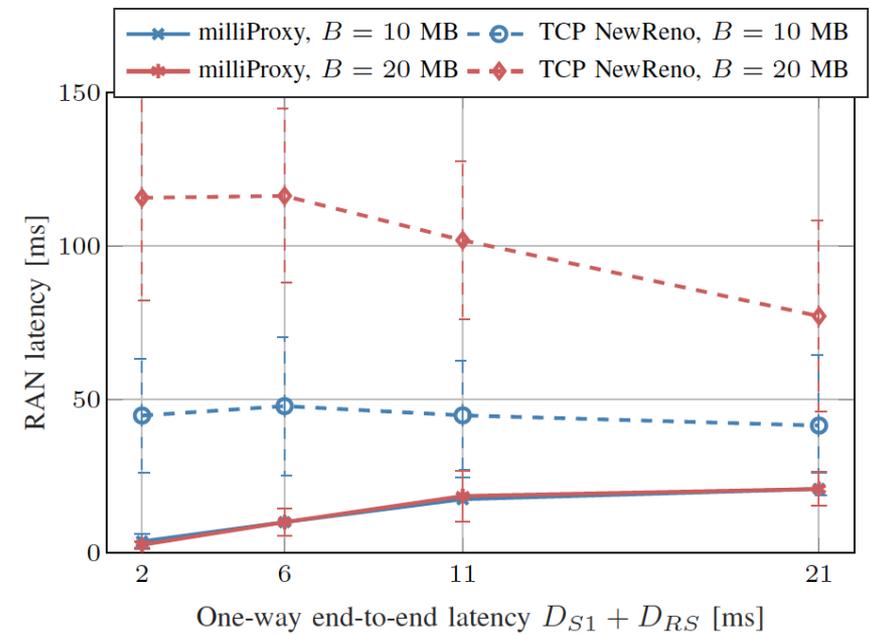
# Results: scenario with many LOS/NLOS transitions

## Throughput



(a) TCP goodput

## Latency



(b) Latency in the RAN (from the PDCP at the eNB that at the UE)

$D_{S1} + D_{RS}$ [ms]	2	6	11	21
$B_{RLC} = 10$ MB	1.1941	1.6875	1.7202	2.2430
$B_{RLC} = 20$ MB	1.0135	1.1448	1.0765	1.9901

Throughput gain w milliProxy

$D_{S1} + D_{RS}$ [ms]	2	6	11	21
$B_{RLC} = 10$ MB	11.8008	4.7547	2.5574	1.9888
$B_{RLC} = 20$ MB	43.3299	11.5578	5.8104	3.6988

Latency reduction w milliProxy

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# Data-Driven 5G Networks Optimization

Machine Learning at the Edge

# Machine learning at the edge

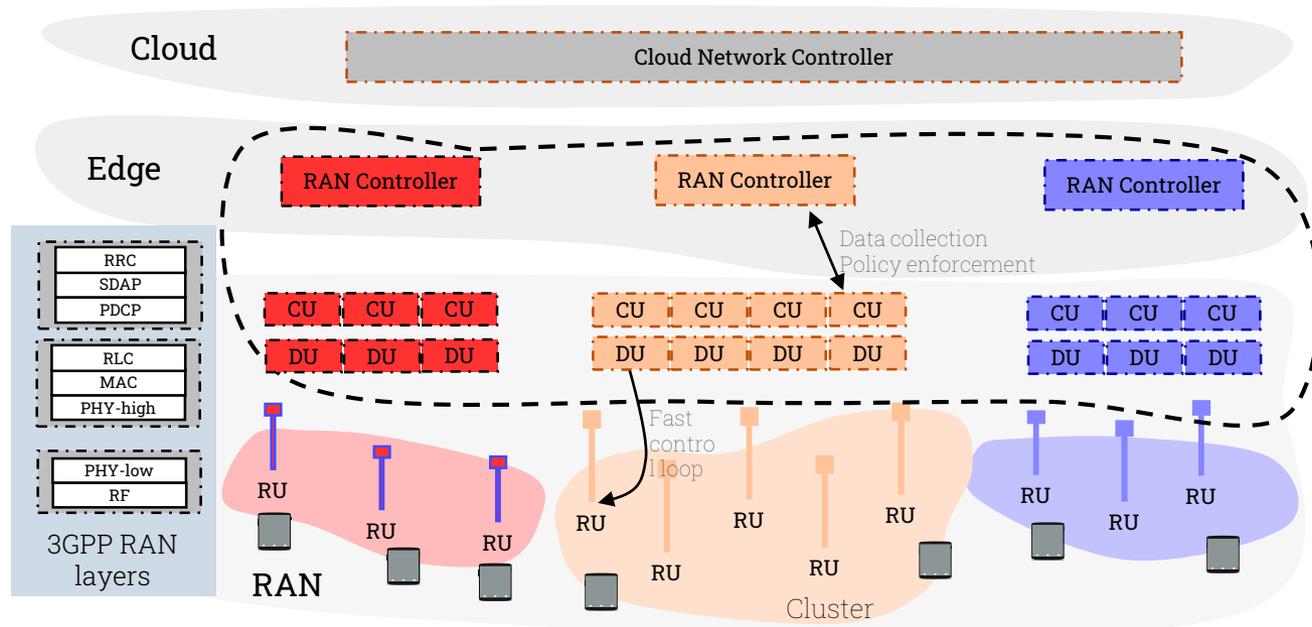
- **Goal:** deploy intelligent and data-driven techniques in 5G networks

- **Contributions:**

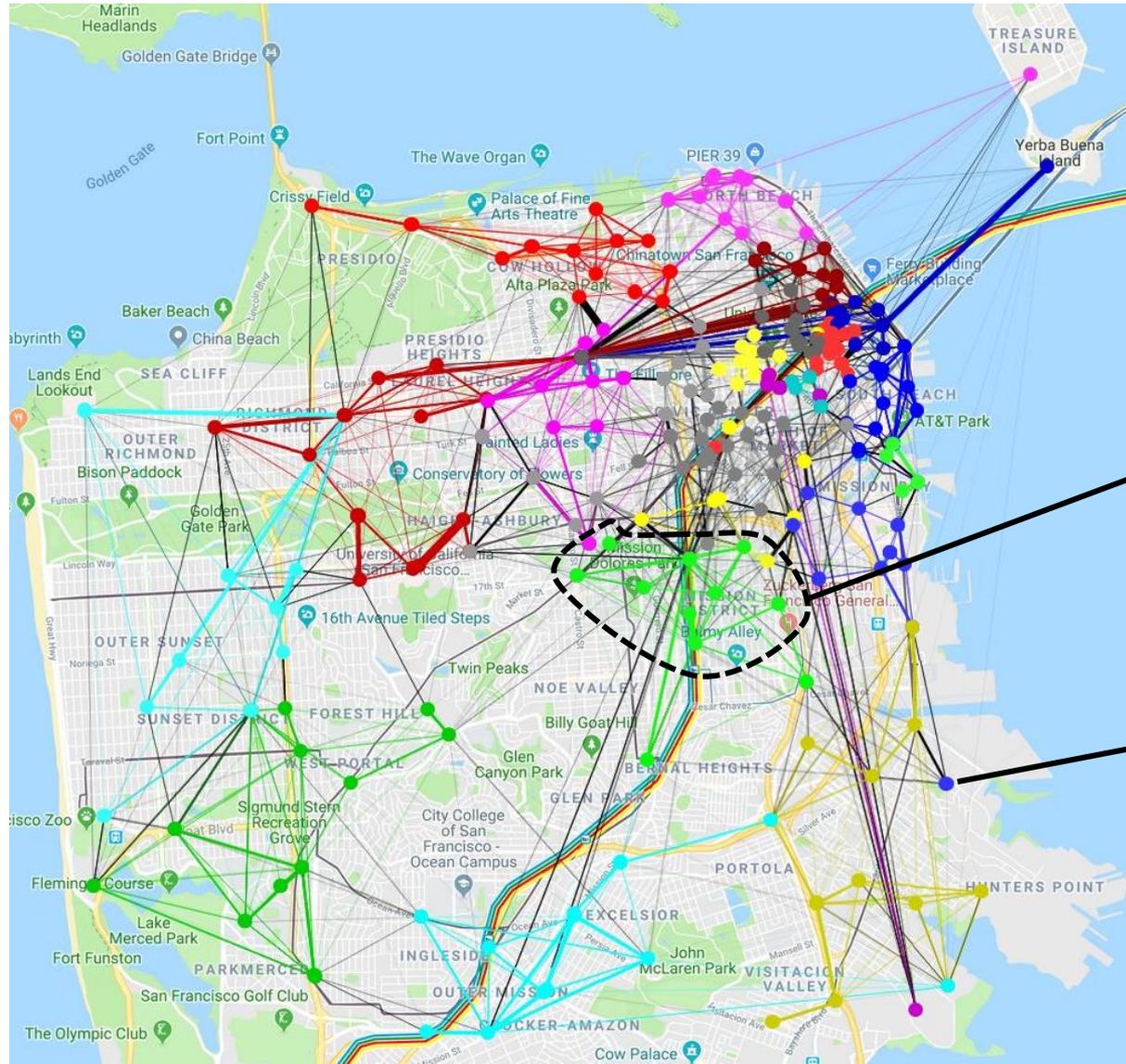
Mobile-edge controller-based **architecture**

Data-driven **dynamic clustering** of base stations

**Prediction** accuracy of the number of **UEs** per base station



# Data-driven clustering example



Each color is a cluster

Base station locations

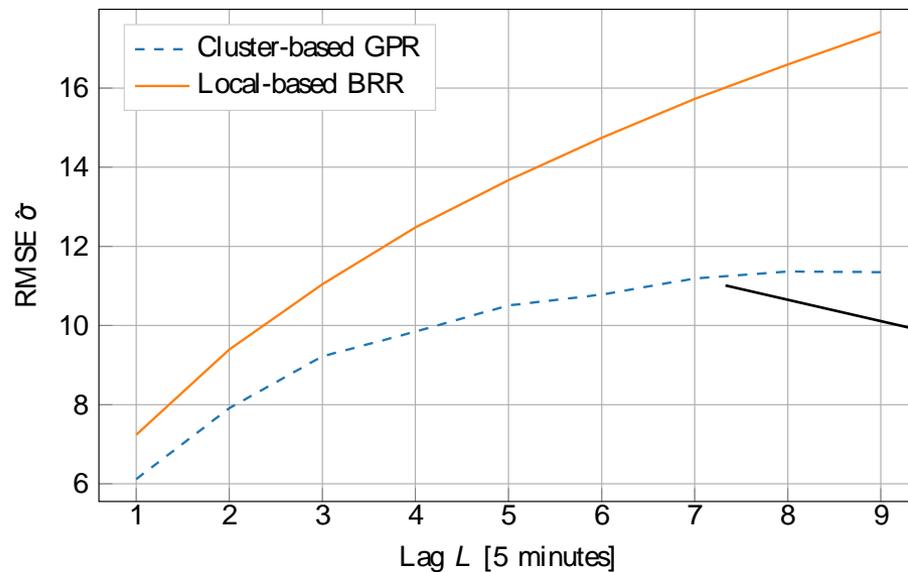
# Prediction of the number of UEs

- Spatial correlation (**cluster-** vs local-based) is more impactful than temporal correlation

53% RMSE reduction

5% RMSE reduction when increasing  $W$

- Exploit geographic constraints on mobility flows
- When considering all the 472 eNBs (in 22 clusters):



Approach based on the proposed architecture

# Conclusions

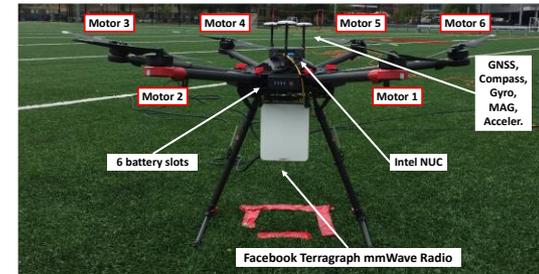
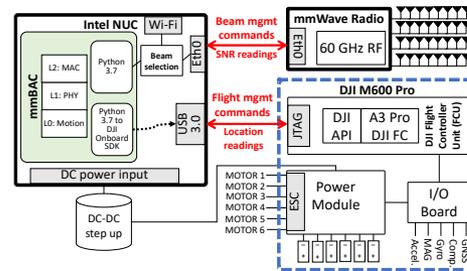


# Conclusions

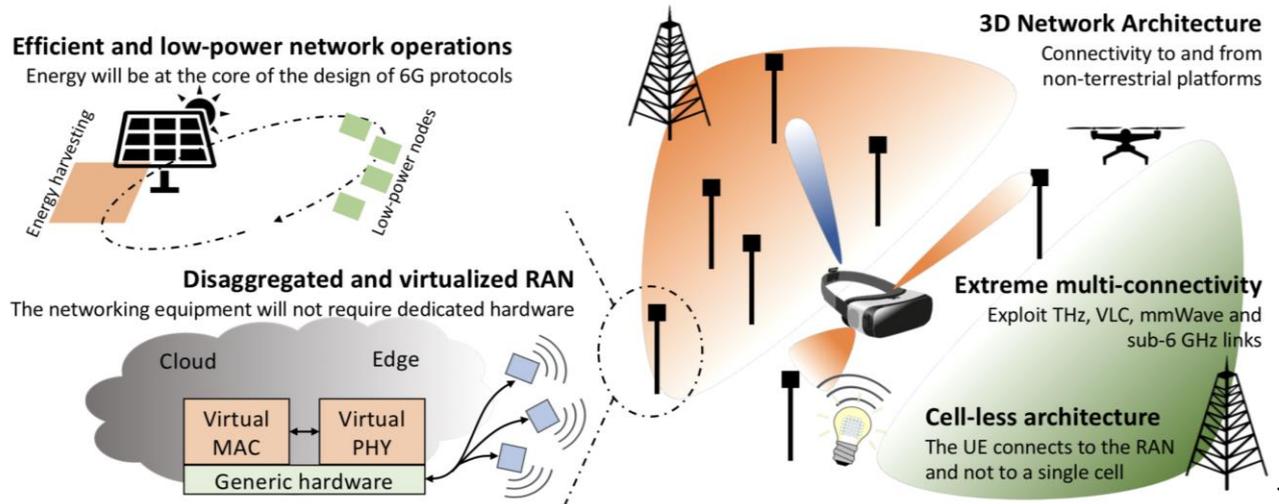
- System-level, end-to-end approach throughout all the topics
- Considered different components of a **complex system** and introduce novel contributions for
  - **Architectures**
  - **Protocols**
  - **Intelligence**
- Thorough and **realistic performance evaluation**
- End-to-end, full-stack analysis may uncover unexpected behaviors

# Future work

- Future research will still be focused on a system-level approach, combined with testbeds and experimental results



- **What happens when you consider increasingly complex systems?**



# Journals

- [1] **M. Polese**, M. Giordani, M. Mezzavilla, S. Rangan, and M. Zorzi, “Improved Handover Through Dual Connectivity in 5G mmWave Mobile Networks,” *IEEE Journal on Selected Areas in Communications*, vol. 35, no. 9, pp. 2069–2084, September 2017.
- [2] **M. Polese**, R. Jana, and M. Zorzi, “TCP and MP-TCP in 5G mmWave Networks,” *IEEE Internet Computing*, vol. 21, no. 5, pp. 12–19, September 2017.
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- [5] M. Dalla Cia, F. Mason, D. Peron, F. Chiariotti, **M. Polese**, T. Mahmoodi, M. Zorzi, and A. Zanella, “Using Smart City Data in 5G Self-Organizing Networks,” *IEEE Internet of Things Journal*, vol. 5, no. 2, pp. 645–654, April 2018.
- [6] M. Zhang, **M. Polese**, M. Mezzavilla, J. Zhu, S. Rangan, S. Panwar, and a. M. Zorzi, “Will TCP Work in mmWave 5G Cellular Networks?” *IEEE Communications Magazine*, vol. 57, no. 1, pp. 65–71, January 2019.
- [7] M. Giordani, **M. Polese**, A. Roy, D. Castor, and M. Zorzi, “Standalone and Non-Standalone Beam Management for 3GPP NR at mmWaves,” *IEEE Communications Magazine*, vol. 57, no. 4, pp. 123–129, April 2019.
- [8] M. Giordani, **M. Polese**, A. Roy, D. Castor, and M. Zorzi, “A Tutorial on Beam Management for 3GPP NR at mmWave Frequencies,” *IEEE Communications Surveys and Tutorials*, vol. 21, no. 1, pp. 173–196, First quarter 2019.
- [9] **M. Polese**, F. Chiariotti, E. Bonetto, F. Rigotto, A. Zanella, and M. Zorzi, “A Survey on Recent Advances in Transport Layer Protocols,” *IEEE Communications Surveys and Tutorials*, pp. 1–1, 2019.
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- [11] **M. Polese**, R. Jana, V. Kounev, K. Zhang, S. Deb, and M. Zorzi, “Machine Learning at the Edge: A Data-Driven Architecture with Applications to 5G Cellular Networks,” submitted to *IEEE Transactions on Mobile Computing*, 2019. [Online]. Available: <https://arxiv.org/pdf/1808.07647.pdf>
- [12] **M. Polese**, M. Giordani, T. Zugno, A. Roy, S. Goyal, D. Castor, and M. Zorzi, “Integrated Access and Backhaul in 5G mmWave Networks: Potentials and Challenges,” *IEEE Communications Magazine* (to appear), 2019. [Online]. Available: <https://arxiv.org/pdf/1906.01099.pdf>
- [13] M. Giordani, **M. Polese**, M. Mezzavilla, S. Rangan, and M. Zorzi, “Towards 6G Networks: Use Cases and Technologies,” *IEEE Communications Magazine* (to appear), March 2019. [Online]. Available: <https://arxiv.org/pdf/1903.12216.pdf>

# Conferences - 1

- [14] **M. Polese**, M. Centenaro, A. Zanella, and M. Zorzi, “M2M massive access in LTE: RACH performance evaluation in a Smart City scenario,” in 2016 IEEE International Conference on Communications (ICC), May 2016, pp. 1–6.
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- [18] E. Lovisotto, E. Vianello, D. Cazzaro, **M. Polese**, F. Chiariotti, D. Zucchetto, A. Zanella, and M. Zorzi, “Cell Traffic Prediction Using Joint Spatio-Temporal Information,” in 6th International Conference on Circuits and Systems Technologies (MOCAST), May 2017.
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- [20] T. Azzino, M. Drago, **M. Polese**, A. Zanella, and M. Zorzi, “X-TCP: A Cross Layer Approach for TCP Uplink Flows in mmWave Networks,” in 16th Annual Mediterranean Ad Hoc Networking Workshop (Med-Hoc-Net’17), June 2017.
- [21] M. Dalla Cia, F. Mason, D. Peron, F. Chiariotti, **M. Polese**, T. Mahmoodi, M. Zorzi, and A. Zanella, “Mobility-aware Handover Strategies in Smart Cities,” in International Symposium on Wireless Communication Systems (ISWCS), August 2017.
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- [23] M. Gentil, A. Galeazzi, F. Chiariotti, **M. Polese**, A. Zanella, and M. Zorzi, “A deep neural network approach for customized prediction of mobile devices discharging time,” in 2017 IEEE Global Communications Conference (GLOBECOM), Dec 2017, pp. 1–6.

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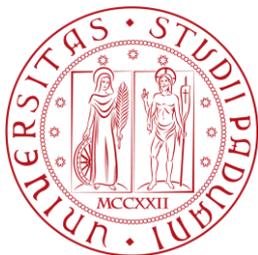
# End-to-End Design and Evaluation of mmWave Cellular Networks

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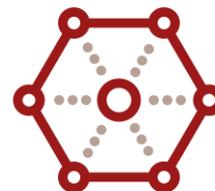
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